

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representation of  
The original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>A61K 7/06, 7/48</b>	<b>A2</b>	<b>(11) International Publication Number:</b> <b>WO 98/09608</b> <b>(43) International Publication Date:</b> 12 March 1998 (12.03.98)
<b>(21) International Application Number:</b> PCT/US97/15561 <b>(22) International Filing Date:</b> 4 September 1997 (04.09.97)  <b>(30) Priority Data:</b> 08/708,862 4 September 1996 (04.09.96) US 08/707,775 4 September 1996 (04.09.96) US 08/833,818 9 April 1997 (09.04.97) US 08/833,817 9 April 1997 (09.04.97) US  <b>(71) Applicant:</b> THE PROCTER & GAMBLE COMPANY [US/US]; One Procter & Gamble Plaza, Cincinnati, OH 45202 (US).  <b>(72) Inventors:</b> HUTCHINS, Thomas, Allen; 3917 Dieckman Lane, Cincinnati, OH 45245 (US). CLARIZIA, Mario, Paul; 88 Jema Court, Iowa City, IA 52246 (US). SNYDER, Michael, Albert; 9391 Larkmeadow Court, Mason, OH 45040 (US). CARBALLADA, Jose, Antonio; 972 Hemp- stead Drive, Cincinnati, OH 45231 (US). TORGERSON, Peter, Marte; 4127 U.S. Route 34 N.W., Washington, OH 43160 (US). BOLICH, Raymond, Edward, Jr.; 7201 Striker Road, Maineville, OH 45039 (US).		<b>(74) Agents:</b> REED, T. David et al.; The Procter & Gamble Company, 5299 Spring Grove Avenue, Cincinnati, OH 45217 (US).  <b>(81) Designated States:</b> AU, BR, CA, CN, JP, KR, MX, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
<b>(54) Title:</b> PERSONAL CARE COMPOSITIONS  <b>(57) Abstract</b>  The present invention relates to personal care compositions comprising a copolymer complex and a volatile, hydrophobic solvent component for solubilizing or dispersing the copolymer complex. The copolymer complex is formed by complexing a fatty acid with a copolymer, wherein the copolymer comprises a hydrophobic monomer, a hydrophilic monomer such that at least 1 %, by weight of the total copolymer, comprises hydrophilic monomers bearing nitrogen functional groups, and optionally a hydrophobic macromonomer.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## PERSONAL CARE COMPOSITIONS

TECHNICAL FIELD

This is a continuation-in-part of application Serial No. 08/736,316, filed on Oct. 23, 1996.

The present invention relates to personal care compositions comprising a copolymer complex and a volatile, hydrophobic solvent component for solubilizing or dispersing the copolymer complex. The copolymer complex is formed by complexing a fatty acid with a copolymer, wherein the copolymer comprises a hydrophobic monomer, a hydrophilic monomer such that at least 1%, by weight of the total copolymer, comprises hydrophilic monomers bearing nitrogen functional groups, and optionally a hydrophobic macromonomer. These compositions provide improved delivery, deposition and retention to the hair and skin.

BACKGROUND OF THE INVENTION

In the hair care area, for example, style retention is generally accomplished by application of either permanent chemical alteration products or temporary styling products. A permanent chemical alteration product, which is commonly referred to as a "hair perm," typically involves treating the hair with various sulfur-containing compounds in order to break the disulfide bonds in the hair fibers, thereby enabling one to alter the shape and orientation of the hair fibers. However, hair perm products have the disadvantage of being harsh and damaging to the hair, and of being long-lasting and difficult to reverse. Conversely, temporary styling products generally do not break the chemical bonds in the hair fibers. These temporary styling products typically are in the form of gels, lotions, mousses, or sprays containing polymeric resins or gums for coating the hair fibers and bonding them together. Many temporary styling products are inconvenient to use and have the disadvantage of not allowing one to readily restyle the hair after the initial application and styling is completed, without further application of additional product. It would be preferable to deliver styling and hold benefits using rinse-off products such as conditioners and shampoos. These types of rinse-off products, however, require styling agents that are substantive to the hair and not readily removed during the rinsing process. Especially useful styling and hold agents for rinse-off compositions are hydrophobic polymeric materials. Such hydrophobic materials, however, may cause a buildup of an unsightly visible residue on the hair with repeated usage. This residue can eventually completely surround the hair shaft and can be difficult to remove with normal shampooing. Therefore, the need exists for

improved compositions for providing temporary styling and hold of human hair without the residue and negatives often associated with such compositions. Similarly, a need remains for film-forming skin compositions which are readily washed off by soap and water.

It has surprisingly been found that hair care products, comprising a copolymer complex comprising a copolymer having at least one acid functional monomer and at least one nitrogen containing functional monomer and at least one fatty acid complexed with the nitrogen containing functional group, provide excellent temporary styling and hold benefits in addition to improved "wash off" characteristics. The copolymer complex of the present invention is soluble or dispersible in and readily deposited by hydrophobic solvent components, yet easily washed away by aqueous surfactant solutions. These compositions can be made into any of a number of conventional forms including, but not limited to, shampoos, conditioners, mousses, gels, lotions, sprays and the like.

In addition to the afore-mentioned hair care benefits, it has been found that the copolymer complex and volatile, hydrophobic solvent component of the present invention are also useful for incorporation into a wide variety of cosmetic and pharmaceutical compositions for topical application to the skin. These materials provide topical compositions which are more easily and uniformly spread upon the skin, which feel good upon the skin, and yet are highly substantive. Furthermore, these compositions are useful for providing occlusion to enhance the penetration of a wide variety of cosmetic and pharmaceutical actives into the skin, or alternatively, through the skin for systemic delivery.

The compositions of the present invention comprise a copolymer complex and a volatile, hydrophobic solvent component suitable for application to the hair or skin. The copolymer complex comprises a copolymer having at least one nitrogen containing functional group and at least one fatty acid complexed with the nitrogen containing functional group.

It is therefore an object of the present invention to provide copolymer complexes having improved solubility or dispersibility characteristics.

It is another object of the present invention to provide copolymer complexes that are soluble or dispersible in hydrophobic solvent components, and yet are readily washed away by aqueous surfactant solutions.

It is another object of the present invention to provide personal care compositions in the form of hair care compositions having improved styling and/or hold properties and having improved aesthetics.

It is another object of the present invention to provide personal care compositions in the form of topical cosmetic and pharmaceutical compositions useful for delivering and retaining a wide variety of cosmetic materials and pharmaceutical actives to and/or through the skin.

It is another object of the present invention to provide rinse off compositions useful for styling and holding hair.

It is another object of the present invention to provide methods for styling and holding the hair.

It is another object of the present invention to provide methods for delivering and retaining to the skin cosmetic materials and pharmaceutical actives.

These and other objects will become readily apparent from the detailed description which follows.

#### SUMMARY OF THE INVENTION

The compositions of the present invention relate to personal care compositions, comprising:

A.) a copolymer complex comprising:

a.) a copolymer having a backbone formed from the copolymerization of repeating

A monomer and B monomer units wherein the backbone has optionally grafted to it hydrophobic C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:

- i) from about 10% to about 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;
- ii) from about 1% to about 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer and C macromonomer units and wherein at least about 1% by weight of the copolymer, of the B monomer units, are selected from B monomer units having at least one nitrogen containing functional group; and
- iii) from 0 to about 50% by weight of the copolymer of one or more C macromonomer units wherein the C units are hydrophobic macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from about 1,500 to about 50,000; and

b.) a complexing fatty acid wherein the fatty acid forms a complex with the nitrogen containing functional group of the B monomer units;

wherein the weight ratio of the copolymer to the fatty acid is from about 50:1 to about 1:1;

and

B.) a volatile, hydrophobic solvent component for the copolymer complex having a boiling point at 1 atmosphere of about 260°C or less and a solubility parameter of about 8.5 (cal/cm<sup>3</sup>)<sup>1/2</sup> or less

wherein the copolymer complex is soluble or dispersible in the volatile, hydrophobic solvent component.

In further embodiments, the present invention relates to a method of making a personal care composition, comprising the steps of:

- a.) preparing a copolymer having a backbone formed from the copolymerization of repeating A monomer and B monomer units wherein the backbone has optionally grafted to it hydrophobic C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:
  - i) from about 10% to about 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;
  - ii) from about 1% to about 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer and C macromonomer units and wherein at least about 1% by weight of the copolymer, of the B monomer units, are selected from B monomer units having at least one nitrogen containing functional group; and
  - iii) from 0 to about 50% by weight of the copolymer of one or more C macromonomer units wherein the C units are hydrophobic macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from about 1,500 to about 50,000; and
- b.) complexing the copolymer with a fatty acid wherein the weight ratio of the copolymer to the fatty acid is from about 50:1 to about 1:1; and
- c.) dissolving or dispersing the copolymer complex in a volatile, hydrophobic solvent component having a boiling point at 1 atmosphere of about 260°C or less and a solubility parameter of about  $8.5 \text{ (cal/cm}^3)^{1/2}$  or less.

In further embodiments, the present invention relates to a personal care composition which prior to mixing, comprises:

- A.) a copolymer complex comprising:
  - a.) a copolymer having a backbone formed from the copolymerization of repeating A monomer and B monomer units wherein the backbone has optionally grafted to it hydrophobic C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:

- i) from about 10% to about 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;
  - ii) from about 1% to about 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer and C macromonomer units and wherein at least about 1% by weight of the copolymer, of the B monomer units, are selected from B monomer units having at least one nitrogen containing functional group; and
  - iii) from 0 to about 50% by weight of the copolymer of one or more C macromonomer units wherein the C units are hydrophobic macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from about 1,500 to about 50,000; and
- b.) a complexing fatty acid wherein the fatty acid forms a complex with the nitrogen containing functional group of the B monomer units wherein the weight ratio of the copolymer to the fatty acid is from about 50:1 to about 1:1;

and

- B.) a volatile, hydrophobic solvent component for the copolymer complex having a boiling point at 1 atmosphere of about 260°C or less and a solubility parameter of about 8.5 (cal/cm<sup>3</sup>)<sup>1/2</sup> or less

wherein the copolymer complex is soluble or dispersible in the volatile, hydrophobic solvent component.

Unless otherwise indicated, all percentages and ratios used herein are by weight of the total composition. All weight percentages, unless otherwise indicated, are on an active weight basis. All measurements made are at 25°C, unless otherwise designated. The invention hereof can comprise, consist of, or consisting essentially of, the essential as well as optional ingredients and components described herein.

#### DETAILED DESCRIPTION OF THE INVENTION

##### Essential Components

The compositions of the present invention comprise a copolymer complex and a volatile, hydrophobic solvent component suitable for application to the hair and skin, wherein the copolymer complex comprises a copolymer complexed with a fatty acid.

The copolymers of the present invention can include graft copolymers. The term "graft copolymers" is familiar to one of ordinary skill in polymer science and is used herein to describe the copolymers which result by adding or "grafting" a polymeric chemical moiety (i.e. "grafts") onto



an other polymeric moiety commonly referred to as the "backbone". The backbone typically has a higher molecular weight than the grafts. Thus, graft copolymers can be described as polymers having pendant polymeric side chains, and as being formed from the "grafting" or incorporation of polymeric side chains onto or into a polymer. The polymer to which the grafts are incorporated can be homopolymers or copolymers. The graft copolymers are derived from a variety of monomer units.

The copolymers of the present invention can be prepared from the copolymerization of monomer units and macromonomer units such that the macromonomer units are "grafted" or incorporated into the resulting copolymer. The term "macromonomer" is a term familiar to one of ordinary skill in polymer science, and is used to described a polymeric material containing a polymerizable moiety. In other words, a macromonomer is a macromolecular monomer, which is essentially a high molecular weight type of monomer building block unit which can be used in a polymerization reaction to form polymers with itself, with other monomers, or with other macromonomers.

The term "hydrophilic" is used herein consistent with its standard meaning of having affinity for water, whereas "hydrophobic" is used herein consistent with its standard meaning of lacking affinity for water. As used herein in relation to monomer units and polymeric materials, including the macromonomers, copolymers, and solvents for the copolymers, "hydrophilic" means substantially water soluble. "Substantially water soluble" shall refer to a material that is soluble in distilled (or equivalent) water, at 25°C, at a concentration of 0.2% by weight, and are preferably soluble at 1.0% by weight. In contrast, "hydrophobic" means substantially water insoluble. In this regard, "substantially water insoluble" shall refer to a material that is not soluble in distilled (or equivalent) water, at 25°C, at a concentration of 0.2% by weight, and preferably not soluble at 0.1% by weight.

The term "soluble" or "solubility" as used herein, means the capability of a substance to form a solution, i.e., either a true solution or a colloidal solution. A true solution being a uniformly dispersed mixture at the molecular or ionic level, of one or more substances (the solute) in one or more substances (the solvent). These two parts of a solution are called phases. A colloidal dispersion is often called a solution. Since colloidal particles are larger than molecules it is strictly incorrect to call such dispersions solutions; however this term is widely used in the literature. The term "dispersible" or "dispersibility" as used herein, means the capability of a substance to form a dispersion, i.e., a two-phase system where one phase consists of finely divided particles (often in the colloidal size range) distributed throughout a bulk substance, the particles being the disperse or internal phase and the bulk substance the continuous or external phase.

The term "rinse-off or wash off" is used herein to mean that the compositions of the present invention are used in a context whereby the composition is ultimately rinsed or washed from the hair and/ r skin either after or during the application of the product. Nonlimiting examples of rinse-off products of the present invention include hair conditioners, shampoos and soaps.

The term "substantive" or "substantivity" as used herein, means the binding or retention of a chemical or pharmaceutical active to the surface layer of the stratum comeum or to hair.

The term "suitable for application to human hair and skin" as used herein, means that the compositions or components thereof so described are suitable for use in contact with human hair and the scalp and skin without undue toxicity, incompatibility, instability, allergic response, and the like.

The aforementioned definitions shall also apply to other materials so described herein, to the extent any other definitions regarding such materials are consistent with those stated above.

The compositions of the present invention comprise the following essential components.

#### Copolymer complex

The hair and skin care compositions of the present invention comprise from about 0.25% to about 30%, preferably from about 2% to about 15%, and more preferably from about 2% to about 10%, by weight, based on the weight of the hair and skin care composition, of a copolymer complex. The copolymer complex comprises a copolymer having at least one nitrogen containing functional monomer complexed with a fatty acid.

#### Copolymer

The copolymer complex of the present invention comprises from about 35% to about 99%, preferably from about 40% to about 90%, and more preferably from about 50% to about 70%, by weight, based on the weight of copolymer complex, of a copolymer. Based on the weight of the overall hair and skin care composition, the copolymer comprises from about 0.1% to about 10%, preferably from about 1% to about 5%, and more preferably from about 1.5% to about 3.5%, by weight.

The copolymers of the present invention have a weight average molecular weight, in grams/mole, of at least about 10,000. There is no upper limit for molecular weight except that which limits applicability of the invention for practical reasons, such as viscosity, processing, aesthetic characteristics, formulation compatibility, etc. The weight average molecular weight is generally less than about 5,000,000, more generally less than about 2,500,000, and typically less than about 1,500,000. Preferably, the weight average molecular weight is from about 10,000 to about 5,000,000, more preferably from about 50,000 to about 2,000,000, even more preferably from about 75,000 to about 1,000,000, and most preferably from about 75,000 to about 500,000.

The copolymers of the present invention are formed from the copolymerization of randomly repeating A monomer units and B monomer units, preferably wherein the A monomers are polymerizable, ethylenically unsaturated monomers selected to meet the requirements of the copolymer. By "polymerizable", as used herein, is meant monomers that can be polymerized using any conventional synthetic techniques. Monomers that are polymerizable using conventional free radical initiated techniques are preferred. The term "ethylenically unsaturated" is used herein to mean monomers that contain at least one polymerizable carbon-carbon double bond (which can be mono-, di-, tri-, or tetra-substituted). The B monomer units are hydrophilic monomers which are

copolymerizable with A and selected from polar monomers, preferably having a Tg or a Tm above about -20°C, and wherein at least 1%, by weight of the copolymer, of the hydrophilic B monomer is selected from hydrophilic B monomers having at least one nitrogen containing functional group. The A monomer and B monomer units form what is termed the backbone of the polymer and can be selected from a wide variety of structures as long as the copolymer has the required properties and meets the molecular weight and other requirements described herein. The copolymers of the present invention may optionally incorporate C macromonomer units. The C macromonomer units are selected from at least one hydrophobic macromonomer unit which contains a polymeric portion and a moiety copolymerizable with the A monomer and the B monomer. The C macromonomer units form hydrophobic side chains on the copolymer.

The copolymers are prepared by the polymerization combination of A and B monomers and can be characterized by the weight percent of the monomers charged to the reaction vessel in which the polymerization reaction is run. C macromonomers may be optionally incorporated into the polymerization reaction.

As will be clear to one skilled in the art and especially from the Examples, the copolymer may have one or more hydrophobic side chains grafted to the backbone. As known in the art, synthetic graft copolymerization processes may produce a mixture of polymer molecules containing no, one, or more than one hydrophobic side chains covalently bonded to and pendant from the polymeric backbone. From knowledge of the amount and number average molecular weight of hydrophobic side chains in a polymer sample, and the number average molecular weight of the polymer sample, it is possible to calculate the average number of hydrophobic side chains per polymer backbone.

The copolymer complex of the present invention, when dried to a film having 0.5% or less of the volatile hydrophobic solvent component, have a Tg or Tm of at least about -20°C, more preferably at least about 20°C, so that the copolymers are not unduly sticky, or "tacky" to the touch. As used herein, the abbreviation "Tg" refers to the glass transition temperature of the backbone of the polymer, and the abbreviation "Tm" refers to the crystalline melting point of the backbone, if such a transition exists for a given polymer. Preferably, both the Tg and the Tm, if any, are above about -20°C, more preferably above about 20°C.

The grafted copolymers should satisfy the following criteria:

- (1) the polymeric side chain portion is covalently bonded to the backbone portion; and
- (2) the number average molecular weight of the polymeric side chain portion is from about 1,500 to about 50,000.

The copolymers of the present invention are prepared by the polymerization combination of A monomers and B monomers. C macromonomers may optionally be incorporated into the polymerization process. The copolymers can be synthesized by free radical polymerization of the monomers and macromonomers. The general principles of free radical polymerization methods are

well understood. See, for example, Odian, "Principles of Polymerization", 3rd edition, John Wiley & Sons, 1991, pp. 198-334. The desired monomers and macromonomers are all placed in a reactor, along with a sufficient amount of a mutual solvent so that when the reaction is complete the viscosity of the reaction is reasonable. Undesired terminators, especially oxygen, are removed as needed. This is done by evacuation or by purging with an inert gas, such as argon or nitrogen. The initiator is introduced and the reaction brought to the temperature needed for initiation to occur, assuming thermal initiators are used. Alternatively, redox or radiation initiation can be used. The polymerization is allowed to proceed as long as needed for a high level of conversion to be achieved, typically from a few hours to a few days. The solvent is then removed, usually by evaporation or by precipitating the copolymer by addition of a nonsolvent. The copolymer can be further purified, as desired.

As an alternative to a batch reaction, the copolymer can be made by a semi-continuous or continuous process. In the semi-continuous process, two or more additions of monomers or macromonomers are made during the polymerization reaction. This is advantageous when the copolymer is made of several monomers which react during the polymerization at different rates. The proportions of monomers added to the reaction at the separate points of addition can be adjusted by one of ordinary skill in the art such that the polymers of the final product have a more uniform structure. In other words, the polymers of the final product will have a more consistent monomer content distribution for each of the monomer types charged to the reaction.

Examples of related copolymers and how they are made are described in detail in U.S. Patent 4,693,935, Mazurek, issued September 15, 1987, U.S. Patent 4,728,571, Clemens et al., issued March 1, 1988, both of which are incorporated herein by reference. Additional grafted polymers are also disclosed in U.S. Patents 5,166,276 and 5,480,634, Hayama, et al., issued November 24, 1992 and January 2, 1996, respectively; U.S. Patent 5,061,481, Suzuki et al., issued October 29, 1991; U.S. Patent 5,106,609, Bolich et al., issued April 21, 1992; U.S. Patent 5,100,658, Bolich et al., issued March 31, 1992; U.S. Patent 5,100,657, Ansher-Jackson, et al., issued March 31, 1992; and U.S. Patent 5,104,646, Bolich et al., issued April 14, 1992, all of which are incorporated by reference herein in their entirety.

The copolymer composition is characterized by the amount of each monomer charged to the polymerization reaction vessel, or alternatively used in a continuous or semi-continuous process.

By appropriate selection and combination of the particular monomer units and macromonomer units, and by the choice of specific relative ratios of the units well within the ability of one of ordinary skill in the art, the copolymers can be optimized for various physical properties and for compatibility with other ingredients commonly used in hair care applications.

### A Monomer Units

The copolymers of the present invention comprise from about 10% to about 99%, preferably from about 40% to about 80%, and more preferably from about 50% to about 70%, by weight of the hydrophobic copolymer, of A monomer units.

The A monomer unit is selected from copolymerizable hydrophobic monomers or mixtures thereof, preferably ethylenically unsaturated monomers. Either a single type of A monomer unit or combinations of two or more A monomer units can be utilized. The A monomers are selected to meet the requirements of the copolymer. Preferably, the A units are soluble or dispersible in the volatile, hydrophobic solvent component. By "copolymerizable", as used herein, is meant that the A monomer can be reacted with or polymerized with the B monomers or C macromonomers in a polymerization reaction using one or more conventional synthetic techniques, such as ionic, emulsion, dispersion, Ziegler-Natta, free radical, group transfer or step growth polymerization. In the present invention, monomers and macromonomers that are copolymerizable using conventional free radical initiated techniques are preferred. The term "ethylenically unsaturated" is used herein to mean a material that contains at least one polymerizable carbon-carbon double bond, which can be mono-, di-, tri- or tetra-substituted.

Nonlimiting classes of A hydrophobic monomers useful herein include monomers selected from the group consisting of unsaturated carboxylic acid esters of C<sub>1</sub>-C<sub>18</sub> alcohols, unsaturated alcohols (preferably having about 12 to about 30 carbons), unsaturated hydrocarbons, aromatic hydrocarbons containing unsaturated alkyl groups, vinyl esters of carboxylic acids, vinyl ethers, allyl esters of carboxylic acids, allyl ethers, and mixtures thereof.

Representative examples of hydrophobic monomers include acrylic or methacrylic acid esters of C<sub>1</sub>-C<sub>18</sub> alcohols such as methanol, ethanol, methoxy ethanol, 1-propanol, 1-butanol, 2-methyl-1-propanol, 1-pentanol, 1-decanol, 2-ethylhexanol, cyclohexanol, and the like; dicyclopentenyl acrylate; 4-biphenyl acrylate, pentachlorophenyl acrylate; 3,5-dimethyladamantyl acrylate; 4-methoxycarbonylphenyl methacrylate, trimethylsilyl methacrylate; styrenes such as methyl styrene; *t*-butyl styrene, isopropyl styrene; vinyl esters, such as vinyl acetate, vinyl neononanoate, vinyl pivalate; and vinyl propionate; vinyl chloride; vinyl toluene; alkyl vinyl ethers, including isobutyl vinyl ether and *s*-butyl vinyl ether; allyl chloride, allyl acetate, 1,2-butadiene; 1,3-butadiene, 1,3-hexadiene, 1,3-cyclohexadiene; bicycloheptadiene; 2,3-dicarboxymethyl-1,6-hexadiene; ethylene; propylene; isoprene; 1-butene, 2-butene, isobutylene, indene; norbornylene;  $\beta$ -pinene;  $\alpha$ -pinene; and mixtures thereof.

Preferred hydrophobic monomers suitable for use as the A monomer units include monomers selected from the group consisting of *n*-butyl methacrylate, isobutyl methacrylate, *t*-butyl acrylate, *t*-butyl methacrylate, 2-ethylhexyl methacrylate, methyl methacrylate, *t*-butyl styrene and mixtures thereof. More preferred are monomer units selected from the group consisting of *t*-butyl styrene, *t*-butyl acrylate, *t*-butyl methacrylate, 2-ethylhexyl methacrylate and mixtures thereof.

### B Monomer Units

The copolymers of the present invention comprise from about 1% to about 40%, preferably from about 5% to about 30%, and more preferably from about 10% to about 20%, by weight of the copolymer, of B monomer units.

The B monomer unit is selected from hydrophilic, copolymerizable monomers, preferably ethylenically unsaturated monomers, comprising at least one monomer copolymerizable with the A monomer unit. Either a single type of B monomer unit or combinations of two or more B monomer units can be utilized. The B monomers are selected to meet the requirements of the copolymer. By "copolymerizable", as used herein, is meant that the B monomer can be reacted with or polymerized with the A monomers or C macromonomers in a polymerization reaction using one or more conventional synthetic techniques, such as ionic, emulsion, dispersion, Ziegler-Natta, free radical, group transfer or step growth polymerization. In the present invention, monomers and macromonomers that are copolymerizable using conventional free radical initiated techniques are preferred. The term "ethylenically unsaturated" is used herein to mean a material that contains at least one polymerizable carbon-carbon double bond, which can be mono-, di-, tri- or tetra-substituted. The B monomer units are selected from hydrophilic monomers wherein at least 1%, by weight based on the weight of the copolymer, of the copolymer, comprises hydrophilic monomers having at least one nitrogen containing functional group. The hydrophilic monomers preferably are selected such that a polymer of these monomers has a T<sub>g</sub> or a T<sub>m</sub> above about -20°C.

The hydrophilic, B monomer is preferably water soluble. The term "nitrogen containing functional group," as used herein means any nitrogen containing functional group selected from the group consisting of amino functional groups, acid additional salts thereof, quaternised amino functional groups and nitrogen containing heterocyclic rings. Preferably the nitrogen containing functional group has a pK<sub>a</sub> such that it is cationic at a pH of below about 5. Preferred amino functional groups are ethylenically unsaturated tertiary alkylamines. Monomers incorporating such amino functional groups include the dialkylamino-alkyl-acrylates and -methacrylates, especially preferred from within this group are monomers having amino alkyl groups of about 2 to 8 carbon atoms such as dialkylaminoethylmethacrylate and the like. Also suitable for use herein are dialkylaminoalkylacrylamides or methacrylamides having amino alkyl groups of 1 to 8, preferably 2 to 5 carbon atoms such as methacrylamidopropyl dimethyl amine and the like. The alkyl quaternised derivatives of the above tertiary amines having alkyl groups of generally 1 to 4 carbon atoms may also be used in the compositions of the present invention. It remains critical, however, that at least about 1%, based on the weight of the copolymer, are B monomer units having at least one nitrogen containing functional group.

### C Macromonomer Units

The copolymers of the present invention can comprise from about 0% to about 50%, preferably from about 5% to about 40%, and more preferably from about 15% to about 30%, by weight of the copolymer of C macromonomer units.

The C macromonomer units are hydrophobic macromonomers copolymerizable with the A and B monomers, said C macromonomers preferably having an ethylenically unsaturated moiety. Either a single type of C macromonomer unit or combinations of two or more C macromonomer units can be utilized herein. The C macromonomers are selected to meet the requirements of the copolymer. By "copolymerizable", as used herein, is meant that the C macromonomers can be reacted with or polymerized with the A and B monomers in a polymerization reaction using one or more conventional synthetic techniques, as described above.

C macromonomers that are useful herein contain a polymeric portion and a copolymerizable moiety which is preferably an ethylenically unsaturated moiety. Typically, the preferred C macromonomers are those that are endcapped with the ethylenically unsaturated moiety. By "endcapped" as used herein is meant that the ethylenically unsaturated moiety is at or near a terminal position of the macromonomer.

The C macromonomers can be synthesized utilizing a variety of standard synthetic procedures familiar to the polymer chemist of ordinary skill in the art. Furthermore, these macromonomers can be synthesized starting from commercially available polymers. The C macromonomer has a number average molecular weight from about 1,500 to about 50,000, preferably from about 5,000 to about 50,000, more preferably from about 5,000 to about 30,000, and most preferably from about 8,000 to about 25,000.

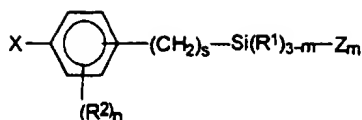
Preferably, the C macromonomer units are selected from the group consisting of polysiloxane macromonomers, polyalkylene macromonomers, and mixtures thereof.

Polysiloxane C macromonomers are exemplified by the general formula:

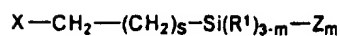


wherein X is an ethylenically unsaturated group copolymerizable with the A monomers, such as a vinyl group; Y is a divalent linking group; R is a hydrogen, hydroxyl, lower alkyl (e.g. C<sub>1</sub>-C<sub>4</sub>), phenyl, C<sub>1</sub>-C<sub>4</sub> alkyl-substituted aryl, C<sub>1</sub>-C<sub>4</sub> alkoxy, or C<sub>1</sub>-C<sub>4</sub> alkyl or dialkylamino; Z is a monovalent siloxane polymeric moiety having a number average molecular weight of at least about 1500, is essentially unreactive under copolymerization conditions, and is pendant from the vinyl polymeric backbone described above; n is 0 or 1; and m is an integer from 1 to 3.

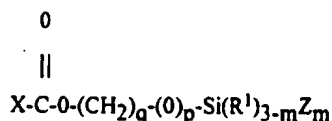
Preferably, the C macromonomer has a formula selected from the following formulas:



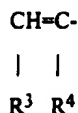
or



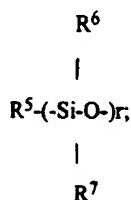
or



In these structures  $s$  is an integer from 0 to 6, preferably 0, 1, or 2, more preferably 0 or 1;  $m$  is an integer from 1 to 3, preferably 1;  $p$  is 0 or 1;  $q$  is an integer from 2 to 6;  $\text{R}^2$  is selected from the group consisting of  $\text{C}_1$ - $\text{C}_{10}$  alkyl or  $\text{C}_1$ - $\text{C}_4$  alkyl-substituted aryl, preferably  $\text{C}_1$ - $\text{C}_6$  alkyl or  $\text{C}_1$ - $\text{C}_4$  alkyl-substituted aryl, more preferably  $\text{C}_1$ - $\text{C}_2$  alkyl;  $n$  is an integer selected from 0 to 4, preferably 0 or 1, more preferably 0;  $\text{R}^1$  is hydrogen, hydroxyl,  $\text{C}_1$ - $\text{C}_4$  alkyl,  $\text{C}_1$ - $\text{C}_4$  alkoxy,  $\text{C}_1$ - $\text{C}_4$  alkyl amino or dialkylamino, phenyl,  $\text{C}_1$ - $\text{C}_4$  alkyl substituted aryl, preferably  $\text{R}^1$  is  $\text{C}_1$ - $\text{C}_4$  alkyl;  $\text{X}$  is

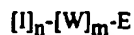


$\text{R}^3$  is hydrogen or  $-\text{COOH}$ , preferably  $\text{R}^3$  is hydrogen;  $\text{R}^4$  is hydrogen, methyl or  $-\text{CH}_2\text{COOH}$ , preferably  $\text{R}^4$  is methyl;  $\text{Z}$  is



$\text{R}^5$ ,  $\text{R}^6$ , and  $\text{R}^7$ , independently are  $\text{C}_1$ - $\text{C}_4$  alkyl,  $\text{C}_1$ - $\text{C}_4$  alkoxy,  $\text{C}_1$ - $\text{C}_4$  alkyl or dialkylamino, phenyl,  $\text{C}_1$ - $\text{C}_4$  alkyl-substituted aryl, hydrogen or hydroxyl, preferably  $\text{R}^5$ ,  $\text{R}^6$ , and  $\text{R}^7$  are  $\text{C}_1$ - $\text{C}_4$  alkyl; and  $r$  is an integer of from about 20 to about 900, preferably about 20 to about 675, more preferably  $r$  is from about 100 to about 325. Most preferably,  $\text{R}^5$ ,  $\text{R}^6$ , and  $\text{R}^7$  are methyl,  $p=0$ , and  $q=3$ .

Polyalkylene macromonomers are exemplified by the general formula:



wherein  $\text{I}$  is an optionally present initiator (i.e.  $n = 0$  or 1),  $\text{W}$  is a hydrophobic monomer unit,  $\text{E}$  is an endcapping group, and  $m$  is an integer from about 10 to about 2000.

$\text{I}$  is an optionally present chemical initiator moiety. Without being limited by theory,  $\text{I}$  can be derived from a chemical initiator or solvent used in the synthesis of the  $\text{C}$  macromonomer.



Nonlimiting examples of such initiators from which I can be derived include hydrogen ion, hydrogen radical, hydride ion, hydroxide ion, hydroxyl radical, peroxide radical, peroxide anion, C1-C20 carbocations, C1-C20 carbanions, C1-C20 carbon radicals, C1-C20 aliphatic and aromatic alkoxy anions, ammonium ion, and substituted ammonium ions (e.g., C1-C20 alkyl and C1-C20 alkoxy substituted), and mixtures thereof. I can be derived from any useful solvent, nonlimiting examples of which include water, methanol, ethanol, propanol, isopropanol, acetone, hexane, dichloromethane, chloroform, benzene, toluene, and mixtures thereof.

W is selected from one or more hydrophobic monomer units. Nonlimiting classes of such monomers include C1-C18 acrylate esters, C1-C18 (alk)acrylate esters, C2-C30 straight and branched chain alkenes, styrenes, C1-C30 vinyl ethers, C4-C30 straight and branched chain dienes, and mixtures thereof.

Nonlimiting examples of W groups include those selected from the group consisting of *n*-butyl acrylate, dodecyl acrylate, ethyl acrylate, 2-ethylbutyl acrylate, *n*-heptyl acrylate, *n*-hexylacrylate, *iso*-butyl acrylate, *iso*-decyl acrylate, *iso*-propyl acrylate, 3-methylbutyl acrylate, 2-methylpentyl acrylate, nonyl acrylate, octyl acrylate, 1-propyl acrylate, 2-ethylhexyl methacrylate, octyl methacrylate, *n*-dodecyl methacrylate, *n*-octadecyl methacrylate, *n*-decyl methacrylate, *n*-pentyl methacrylate, isobutylene, isoprene, 1,2-butadiene, 1,3-butadiene, 5-methyl-1-hexene, 6-methyl-1-heptene, 4,4-dimethyl-1-pentene, *iso*-butyl vinyl ether, styrene, 2-methyl styrene, 3-methylstyrene, 4-methyl styrene, 2-*t*-butyl styrene, 3-*t*-butyl styrene, 4-*t*-butyl styrene, and mixtures thereof.

E is a copolymerizable moiety or "endcapping" group. Preferably E is an ethylenically unsaturated moiety. More preferred is when E is selected from the group consisting of vinyl, allyl, acryloyl, methacryloyl, ethacryloyl, 2-vinylbenzyl, 3-vinylbenzyl, 4-vinylbenzyl, 2-vinylbenzoyl, 3-vinylbenzoyl, 4-vinylbenzoyl, 1-butenyl, 1-propenyl, isobutenyl, cyclohexenyl, cyclopentenyl, and mixtures thereof. Even more preferred is when E is selected from the group consisting of vinyl, allyl, acryloyl, methacryloyl, ethacryloyl, 3-vinylbenzyl, 4-vinylbenzyl, 3-vinylbenzoyl, 4-vinylbenzoyl, 1-butenyl, 1-propenyl, isobutenyl, and mixtures thereof. Most preferred is when E is selected from the group consisting of vinyl, allyl, acryloyl, methacryloyl, ethacryloyl, 3-vinylbenzyl, 4-vinylbenzyl, and mixtures thereof.

Nonlimiting examples of polysiloxane and polyalkylene C macromonomer units useful herein include those selected from the group consisting of acryloyl, methacryloyl, or 3-, or 4-vinylbenzyl endcapped polymers of polydimethylsiloxane, polydiethylsiloxane, polyphenylmethylsiloxane, polyphenylethylsiloxane, poly(*n*-butyl acrylate), poly(dodecyl acrylate), poly(2-ethylhexyl acrylate), poly(2-ethylbutyl acrylate), poly(ethyl acrylate), poly(*n*-heptyl acrylate), poly(*n*-hexyl acrylate), poly(*iso*-butyl acrylate), poly(*iso*-decyl acrylate), poly(*iso*-propyl acrylate), poly(3-methylbutyl acrylate), poly(2-methylpentyl acrylate), poly(nonyl acrylate), poly(octyl acrylate), poly(propyl acrylate), poly(2-ethylhexyl methacrylate), poly(tridecyl methacrylate), poly(hexyl methacrylate), poly(decyl methacrylate), poly(octyl methacrylate), poly(octadecyl

methacrylate), poly(dodecyl methacrylate), poly(n-pentyl methacrylate), poly(isobutylene), poly(isoprene), hydrogenated poly(1,2-butadiene), hydrogenated poly(1,4-butadiene), hydrogenated poly(isoprene), poly(1,2-butadiene), poly(1-butene), poly(5-methyl-1-hexene), poly(6-methyl-1-heptene), poly(4,4-dimethyl-1-pentene), poly(iso-butyl vinyl ether), poly[4-*t*-butyl vinyl benzene-co-2-ethylhexyl acrylate], poly[2-ethylhexyl acrylate-co-octyl acrylamide], poly[2-ethyl vinyl benzene-co-octyl methacrylate]), and mixtures thereof.

"Copolymer" type C macromonomers containing two or more different randomly repeating monomer units are useful herein. Nonlimiting examples of these "copolymer" type of macromonomers include acryloyl endcapped poly[co(4-*t*-butyl vinyl benzene)(2,4-dimethyl vinyl benzene)], poly[co(4-*t*-butyl vinyl benzene)(2-ethylhexyl acrylate)], poly[co(2,4-dimethyl vinyl benzene)(2-ethylhexyl acrylate)], poly[co(2-ethyl vinyl benzene)(octylmethacrylate)], and the like.

#### Nonlimiting Examples Of Polymers Of The Present Invention

Nonlimiting examples of polymers useful for making the complexes of the present invention include those selected from the group consisting of poly[(*t*-butylacrylate-co-dimethylaminopropylacrylamide)-graft-poly(dimethylsiloxane)], poly[(4-*t*-butylstyrene-co-dimethylaminopropylacrylamide)-graft-poly(dimethylsiloxane)], poly[(*t*-butylacrylate-co-dimethylaminopropylacrylamide)-graft-poly(isobutylene)], poly[(4-*t*-butylstyrene-co-dimethylaminopropylacrylamide)-graft-poly(isobutylene)], poly[(*t*-butylacrylate-co-dimethylaminopropylacrylamide)-graft-poly(2-ethylhexyl methacrylate)], poly[(4-*t*-butylacrylate-co-styrene-co-dimethylaminopropylacrylamide)-graft-poly(isobutylene)], poly[(*t*-butylacrylate-co-dimethylaminoethylmethacrylate)-graft-poly(dimethylsiloxane)], poly[(4-*t*-butylstyrene-co-dimethylaminoethylmethacrylate)-graft-poly(dimethylsiloxane)], poly[(*t*-butylacrylate-co-dimethylaminoethylmethacrylate)-graft-poly(isobutylene)], poly[(4-*t*-butylstyrene-co-dimethylaminoethylmethacrylate)-graft-poly(isobutylene)], poly[(*t*-butylstyrene-co-dimethylaminoethylmethacrylate)-graft-poly(2-ethylhexyl methacrylate)], poly[(4-*t*-butylacrylate-co-styrene-co-dimethylaminoethylmethacrylate)-graft-poly(isobutylene)], and mixtures thereof.

#### Preferred Polymers Of The Present Invention

Particularly preferred polymers for use in the present invention include the following (the weight percents below refer to the amount of reactants added in the polymerization reaction, not necessarily the amount in the finished polymer):

- (I) a copolymer prepared from the polymerization reaction of 10 weight percent N,N-dimethylaminoethylmethacrylate, 70 weight percent isobutylmethacrylate and 20 weight percent polydimethylsiloxane macromonomer having a weight average molecular weight of about 20,000.
- (II) a copolymer prepared from the polymerization reaction of 20 weight percent N,N-dimethylaminoethylmethacrylate, 62 weight percent *t*-butyl methacrylate and 18 weight

percent polydimethylsiloxane macromonomer having a weight average molecular weight of about 15,000.

(III) a copolymer prepared from the polymerization reaction of 50 weight percent t-butylacrylate, 10 weight percent t-butyl methacrylate, 10 weight percent N,N-dimethylaminopropylmethacrylate, 10 weight percent ethylhexyl methacrylate and 20 weight percent polydimethylsiloxane macromonomer having a weight average molecular weight of about 10,000.

(IV) a copolymer prepared from the polymerization reaction of 60 weight percent t-butylacrylate, 15 weight percent N,N-dimethylaminoethylmethacrylate, 10 weight percent ethylhexyl methacrylate and 15 weight percent polydimethylsiloxane macromonomer having a weight average molecular weight of about 10,000.

(V) a copolymer prepared from the polymerization reaction of 70 weight percent t-butylacrylate, 10 weight percent N,N-dimethylaminopropylmethacrylate, and 20 weight percent polyisobutylene macromonomer having a weight average molecular weight of about 10,000.

(VI) a copolymer prepared from the polymerization reaction of 75 weight percent t-butylacrylate, 5 weight percent N,N-dimethylaminoethylmethacrylate, and 20 weight percent poly-2-ethylhexylmethacrylate macromonomer having a weight average molecular weight of about 10,000.

(VII) a copolymer prepared from the polymerization reaction of 70 weight percent t-butylacrylate, 10 weight percent N,N-dimethylaminoethylmethacrylate, and 20 weight percent ethylhexyl methacrylate.

#### Fatty Acid

The copolymer component of the present invention comprises from about 1% to about 50%, preferably from about 10% to about 40%, and more preferably from about 15% to about 30%, based on the weight of the copolymer complex, of a complexing, fatty acid.

The fatty acid utilized in the compositions of the present invention preferably is a long chain fatty acid. Representative fatty acids include fatty acid sulfonates, fatty acid carboxylates, polycarboxylates and their derivatives. Illustrative carboxylic acids include saturated or unsaturated straight or branched chained aliphatic, cycloaliphatic, and aromatic mono- and poly basic carboxylic acids such as 2-ethylhexanoic acid, palmitic acid, stearic acid, oleic acid, linoleic acid and behenic acid. Suitable polycarboxylates include polyacrylates, polymaleates, polyacetates, polyhydroxyacrylates and polymethacrylates. Copolymers of polycarboxylates are also suitable for use herein.

It is critical to the present invention that the copolymer complex formed by the nitrogen containing functional monomer and the complexing fatty acid be soluble in the volatile, hydrophobic solvent component discussed. Without being limited by theory, it is believed that the fatty acids

associate with the nitrogen containing functional groups of the copolymer backbone so as to shield the nitrogen containing functional groups from the hydrophobic solvent component, improving the stability of the solubilized and/or dispersed copolymer complex.

The copolymers of the present invention can be complexed with the fatty acids either during the polymerization process or after the polymerization process as part of a final complexing step preferably at a weight ratio of copolymer to fatty acid of from about 50:1 to about 1:1, more preferably from about 3:1 to about 10:7, more preferably from about 15:7 to about 10:7.

#### Volatile, Hydrophobic Solvent Component

The compositions of the present invention further comprises from about 1% to about 99.75%, preferably from about 4% to about 50%, and more preferably from about 4% to about 20%, based on the weight of the composition, of a volatile, hydrophobic solvent for the copolymer complex.

The term "solvent", as used herein, means a liquid suitable for solubilizing or dispersing one or more of a variety of substances. The term "solvent component" as used herein means a liquid comprised of a single solvent or a mixture solvents. The term "volatile", as used herein, means that the solvent exhibits a significant vapor pressure at ambient conditions (e.g., 1 atmosphere at 25°C), as understood by those skilled in the scientific arts. Specially, the solvent component has a boiling point at one atmosphere of about 260°C or less, preferably about 230°C or less, more preferably about 215°C or less, and most preferably about 210°C or less and a solubility parameter of about 8.5 (cal/cm<sup>3</sup>)<sup>1/2</sup> or less. In addition, the boiling point of the solvent will generally be at least about 50°C, preferably at least about 90°C. The solvent component should also be acceptable for topical application to the hair and the skin. The copolymers composed of the monomer and/or macromer units of the present invention must be soluble or dispersible in the volatile, hydrophobic solvent component once complexed with the fatty acid complexing agent of the present invention.

Hydrophobic solvents suitable for use in the volatile, hydrophobic solvent component are selected from the group consisting of branched chain hydrocarbons, silicones, and mixtures thereof.

Preferred hydrophobic branched chain hydrocarbons useful as the solvent component herein contain from about 7 to about 14, more preferably from about 10 to about 13, and most preferably from about 11 to about 12 carbon atoms. Saturated hydrocarbons are preferred, although it is not intended to exclude unsaturated hydrocarbons. Examples of such preferred branched chain hydrocarbons include isoparaffins of the above chain sizes. Isoparaffins are commercially available from Exxon Chemical Co; examples include Isopar E (C<sub>8</sub>-C<sub>9</sub> isoparaffins), Isopar<sup>TM</sup> H and K (C<sub>11</sub>-C<sub>12</sub> isoparaffins), and Isopar<sup>TM</sup> L (C<sub>11</sub>-C<sub>13</sub> isoparaffins) or mixtures thereof. Other suitable branched chain hydrocarbons are isododecane and isoundecane. Isododecane is preferred and is commercially available from Presperse, Inc. (South Plainfield, NJ, USA) as Permethyl<sup>TM</sup> 99A.

Preferred silicones useful as the volatile hydrophobic solvent component include volatile siloxanes such as phenyl pentamethyl disiloxane, phenylethylpentamethyl disiloxane, hexamethyl

disiloxane, methoxy propylheptamethyl cyclotetrasiloxane, chloropropyl pentamethyl disiloxane, hydroxypropyl pentamethyl disiloxane, octamethyl cyclotetrasiloxane, decamethyl cyclopentasiloxane, and mixtures thereof. More preferred among the silicones are cyclomethicones, examples of which include hexamethyl disiloxane, octamethyl cyclo tetrasiloxane and decamethyl cyclopentasiloxane, which are commonly referred to D4 and D5 cyclomethicone, respectively.

Small amounts of hydrophilic solvents such as water, the C<sub>1</sub>-C<sub>6</sub> alcohols, or mixtures thereof may also be incorporated herein so long as the solubility parameter of the volatile, hydrophobic solvent component is about 8.5 (cal/cm<sup>3</sup>)<sup>1/2</sup> or less.

The copolymer complexes of the present invention are soluble or dispersible in the volatile, hydrophobic solvent component at a concentration by weight of about 0.2%, preferably of about 0.5%, and more preferably of about 1%.

In the case of the improved hair styling embodiments, the copolymer complex and the volatile, hydrophobic solvent are preferably mixed such that the copolymer complex/solvent mixture has a viscosity above about 5,000 cps, preferably from about 7,000 cps to about 250,000 cps and more preferably from about 7,000 cps to about 15,000 cps. All viscosities are measured at 25°C and at a shear rate of 10 sec<sup>-1</sup> using a Bohlin cone and plate viscometer Model VOR (cone diameter: 30mm; cone angle: 2.5°) and are expressed in centipoise. Without being limited by theory, it is believed that these higher viscosity embodiments improve polymer deposition efficiency and/or improve the weld morphology of polymer droplets leading to improved hair style and hold.

#### Carriers

##### Hair Care and Topical Skin Care Compositions

The copolymers of the present invention can be formulated into a wide variety of product types, including mousses, gels, lotions, creams, ointments, tonics, sprays, aerosols, shampoos, conditioners, rinses, bar soaps, hand and body lotions, facial moisturizers, solid gel sticks, sunscreens, anti-acne preparations, topical analgesics, mascaras, antiperspirants, deodorants and the like. Carriers optionally used to formulate such product types should be immiscible with the volatile, hydrophobic solvent component. The term "immiscible" as used herein means the volatile, hydrophobic solvent component has a solubility in the carrier of less than 2% by weight, preferably less than 1%, most preferably less than 0.1% such that at least two liquid phases are formed upon combining the volatile, hydrophobic solvent component with the carrier. The optional Carriers and additional components vary with the product type and can be routinely chosen by one skilled in the art. The following is a description of some of these carriers and additional components.

### Hair Care Compositions

The hair care compositions of the present invention can comprise a carrier, or a mixture of such carriers, which are suitable for application to the hair. The carriers are preferably present at from about 0.5% to about 99.5%, preferably from about 5.0% to about 99.5%, more preferably from about 10.0% to about 98.0%, of the composition. As used herein, the phrase "suitable for application to hair" means that the carrier does not damage or negatively affect the aesthetics of hair or cause irritation to the underlying skin.

Carriers suitable for use with hair care compositions of the present invention include, for example, those used in the formulation of hair sprays, mousses, tonics, gels, shampoos, conditioners, and rinses. The choice of appropriate carrier will also depend on the particular copolymer to be used, and whether the product formulated is meant to be left on the surface to which it is applied (e.g., hair spray, mousse, tonic, or gel) or rinsed off after use (e.g., shampoo, conditioner, rinse).

The carriers used herein can include a wide range of components conventionally used in hair care compositions. The carriers can contain a solvent to dissolve or disperse additional copolymers being used, with water, the C1-C6 alcohols, and mixtures thereof being preferred; and water, methanol, ethanol, isopropanol, and mixtures thereof being more preferred. The carriers can also contain a wide variety of additional materials including, but not limited to acetone, hydrocarbons (such as isobutane, hexane, decene), halogenated hydrocarbons (such as Freons), linalool, esters (such as ethyl acetate, dibutyl phthalate), and volatile silicon derivatives (especially siloxanes such as phenyl pentamethyl disiloxane, methoxypropyl heptamethyl cyclotetrasiloxane, chloropropyl pentamethyl disiloxane, hydroxypropyl pentamethyl disiloxane, octamethyl cyclotetrasiloxane, decamethyl cyclopentasiloxane, cyclomethicone, and dimethicone having for example, a viscosity at 25°C of about 2.5 centipoise or less), and mixtures thereof. When the hair care composition is a hair spray, tonic, gel, or mousse the preferred solvents include water, ethanol, volatile silicone derivatives, and mixtures thereof. The solvents used in such mixtures may be miscible or immiscible with each other. Mousses and aerosol hair sprays can also utilize any of the conventional propellants to deliver the material as a foam (in the case of a mousse) or as a fine, uniform spray (in the case of an aerosol hair spray). Examples of suitable propellants include materials such as trichlorofluoromethane, dichlorodifluoromethane, difluoroethane, dimethylether, propane, n-butane or isobutane. A tonic or hair spray product having a low viscosity may also utilize an emulsifying agent. Examples of suitable emulsifying agents include nonionic, cationic, anionic surfactants, or mixtures thereof. Fluorosurfactants are especially preferred, particularly if the product is a hair spray composition and most especially if it is a spray composition having relatively low levels of volatile organic solvents, such as alcohols, and relatively high levels of water (e.g., in excess of about 10%, by weight water). If such an emulsifying agent is used, it is preferably present at a level of from about 0.01% to about 7.5% of the composition. The level of propellant can be adjusted as desired but

is generally from about 3% to about 30% of mousse compositions and from about 15% to about 70% of the aerosol hair spray compositions.

Suitable spray containers are well known in the art and include conventional, non-aerosol pump sprays i.e., "atomizers," aerosol containers or cans having propellant, as described above, and also pump aerosol containers utilizing compressed air as the propellant. Pump aerosol containers are disclosed, for example, in U.S. Patents 4,077,441, March 7, 1978, Olofsson and 4,850,577, July 25, 1989, TerStegge, both incorporated by reference herein, and also in U.S. Serial No. 07/839,648, Gosselin, Lund, Sojka, and Lefebvre, filed February 21, 1992, "Consumer Product Package Incorporating A Spray Device Utilizing Large Diameter Bubbles. Pump aerosols hair sprays using compressed air are also currently marketed by The Procter & Gamble Company under their tradename VIDAL SASSOON AIRSPRAY® hair sprays.

Where the hair care compositions are conditioners and rinses, the carrier can include a wide variety of conditioning materials. Where the hair care compositions are shampoos, the carrier can include surfactants, suspending agents, thickeners etc. Various additional components useful in hair care compositions are described in U.S. Patent No. 5,106,609, to Bolich, Jr. et al., issued April 21, 1992; and U.S. Patent No. 4,387,090, to Bolich, Jr. issued June 7, 1983; which are incorporated by reference herein. Some of these additional components are described below.

#### Topical Skin Care Compositions

The topical cosmetic and pharmaceutical compositions of the present invention can comprise a carrier. The carrier should be "cosmetically and/or pharmaceutically acceptable", which means that the carrier is suitable for topical application to the skin, has good aesthetic properties, is compatible with the copolymers of the present invention and any other components, and will not cause any untoward safety or toxicity concerns.

The carrier can be in a wide variety of forms. For example, emulsion carriers, including, but not limited to, oil-in-water, water-in-oil, water-in-oil-in-water, and oil-in-water-in-silicone emulsions, are useful herein. These emulsions can cover a broad range of viscosities, e.g. from about 100 cps to about 200,000 cps. These emulsions can also be delivered in the form of sprays using either mechanical pump containers or pressurized aerosol containers using conventional propellants. These carriers can also be delivered in the form of a mousse. Other suitable topical carriers include anhydrous liquid solvents such as oils, alcohols, and silicones (e.g., mineral oil, ethanol, isopropanol, dimethicone, cyclomethicone, and the like); aqueous-based single phase liquid solvents (e.g., hydroalcoholic solvent systems); and thickened versions of these anhydrous and aqueous-based single phase solvents (e.g., where the viscosity of the solvent has been increased to form a solid or semi-solid by the addition of appropriate gums, resins, waxes, polymers, salts, and the like). Examples of topical carrier systems useful in the present invention are described in the following four references all of which are incorporated herein by reference in their entirety: "Sun Products Formulary" Cosmetics & Toiletries, vol. 105, pp. 122-139 (December 1990); "Sun Products Formulary",

Cosmetics & Toiletries, vol. 102, pp. 117-136 (March 1987); U.S. Patent No. 4,960,764 to Figueroa et al., issued October 2, 1990; and U.S. Patent No. 4,254,105 to Fukuda et al., issued March 3, 1981.

The carriers of the skin care compositions preferably comprise from about 50% to about 99% by weight of the compositions of the present invention, more preferably from about 75% to about 99%, and most preferably from about 85% to about 95%.

Preferred cosmetically and/or pharmaceutically acceptable topical carriers include hydro-alcoholic systems and oil-in-water emulsions. When the carrier is a hydro-alcoholic system, the carrier can comprise from about 1% to about 50% of ethanol, isopropanol, or mixtures thereof, and from about 40% to about 99% of water. More preferred is a carrier comprising from about 5% to about 60% of ethanol, isopropanol, or mixtures thereof, and from about 40% to about 95% of water. Especially preferred is a carrier comprising from about 20% to about 50% of ethanol, isopropanol, or mixtures thereof, and from about 50% to about 80% of water. When the carrier is an oil-in-water emulsion, the carrier can include any of the common excipient ingredients for preparing these emulsions. Additional components useful in formulating these topical compositions are further described below.

#### Additional Components

A wide variety of additional components can be employed in the hair care and topical skin compositions herein. Non-limiting examples include the following:

#### Pharmaceutical Actives

The compositions of the present invention, especially the topical skin care compositions, can comprise a safe and effective amount of a pharmaceutical active. The phrase "safe and effective amount", as used herein, means an amount of an active high enough to significantly or positively modify the condition to be treated, but low enough to avoid serious side effects (at a reasonable benefit/risk ratio), within the scope of sound medical judgment. A safe and effective amount of the pharmaceutical active will vary with the specific active, the ability of the composition to penetrate the active through the skin, the amount of composition to be applied, the particular condition being treated, the age and physical condition of the patient being treated, the severity of the condition, the duration of the treatment, the nature of concurrent therapy, and like factors.

The pharmaceutical actives which can be used in the compositions of the present invention preferably comprise from about 0.1% to about 20% by weight of the compositions, more preferably from about 0.1% to about 10%, and most preferably from about 0.1% to about 5%. Mixtures of pharmaceutical actives may also be used.

Nonlimiting examples of pharmaceutical actives can include the following:

Useful pharmaceutical actives in the compositions of the present invention include anti-acne actives. Anti-acne actives preferred for use in the present invention include the keratolytics such as salicylic acid, sulfur, lactic acid, glycolic, pyruvic acid, urea, resorcinol, and N-acetylcysteine; retinoids such as retinoic acid and its derivatives (e.g., cis and trans); antibiotics and antimicrobials



such as benzoyl peroxide, octopirox, erythromycin, zinc, tetracyclin, triclosan, azelaic acid and its derivatives, phenoxy ethanol and phenoxy propanol, ethylacetate, clindamycin and meclocycline; sebastats such as flavinoids; alpha and beta hydroxy acids; and bile salts such as scymnol sulfate and its derivatives, deoxycholate, and cholate. Preferred for use herein is salicylic acid.

Useful pharmaceutical actives in the compositions of the present invention include analgesic actives. Analgesic actives suitable for use in the present compositions include salicylic acid derivatives such as methyl-salicylate, species and derivatives of the genus capsicum such as capsaicin and non-steroidal anti-inflammatory drugs (NSAIDS). The NSAIDS can be selected from the following categories: propionic acid derivatives; acetic acid derivatives; fenamic acid derivatives; biphenylcarboxylic acid derivatives; and oxicams. All of these NSAIDS are fully described in the U.S. Patent 4,985,459 to Sunshine et al., issued January 15, 1991, incorporated by reference herein. Most preferred are the propionic NSAIDS including but not limited to aspirin, acetaminophen, ibuprofen, naproxen, benoxaprofen, flurbiprofen, fenoprofen, fenbufen, ketoprofen, indoprofen, piroprofen, carprofen, oxaprozin, pranoprofen, miroprofen, tioprofen, suprofen, alminoprofen, tiaprofenic acid, fluprofen and bucloxic acid. Also useful are the steroidal anti-inflammatory drugs including hydrocortisone and the like.

Useful pharmaceutical actives in the compositions of the present invention include antipruritic drugs. Antipruritic actives preferred for inclusion in compositions of the present invention include pharmaceutically-acceptable salts of methdilazine and trimeprazine. Useful pharmaceutical actives in the compositions of the present invention include anesthetic actives. Anesthetic actives preferred for inclusion in compositions of the present invention include pharmaceutically-acceptable salts of lidocaine, bupivacaine, chlorprocaine, dibucaine, etidocaine, mepivacaine, tetracaine, dyclonine, hexylcaine, procaine, cocaine, ketamine, pramoxine and phenol. Useful pharmaceutical actives in the compositions of the present invention include antimicrobial actives (antibacterial, antifungal, antiprotozoal and antiviral drugs). Antimicrobial actives preferred for inclusion in compositions of the present invention include pharmaceutically-acceptable salts of  $\beta$ -lactam drugs, quinolone drugs, ciprofloxacin, norfloxacin, tetracycline, erythromycin, amikacin, triclosan, doxycycline, capreomycin, chlorhexidine, chlortetracycline, oxytetracycline, clindamycin, ethambutol, metronidazole, pentamidine, gentamicin, kanamycin, lineomycin, methacycline, methenamine, minocycline, neomycin, netilmicin, paromomycin, streptomycin, tobramycin, miconazole and amantadine. Antimicrobial drugs preferred for inclusion in compositions of the present invention include tetracycline hydrochloride, erythromycin estolate, erythromycin stearate (salt), amikacin sulfate, doxycycline hydrochloride, capreomycin sulfate, chlorhexidine gluconate, chlorhexidine hydrochloride, chlortetracycline hydrochloride, oxytetracycline hydrochloride, clindamycin hydrochloride, ethambutol hydrochloride, metronidazole hydrochloride, pentamidine hydrochloride, gentamicin sulfate, kanamycin sulfate, lineomycin hydrochloride, methacycline hydrochloride, methenamine hippurate, methenamine mandelate, minocycline hydrochloride, neomycin sulfate,

netilmicin sulfate, paromomycin sulfate, streptomycin sulfate, tobramycin sulfate, miconazole hydrochloride, amantadine hydrochloride, amantadine sulfate, triclosan, octopirox, parachlorometa xlenol, nystatin, tolnaftate and clotrimazole.

Also useful herein are sunscreens. A wide variety of sunscreens are described in U.S. Patent No. 5,087,445, to Haffey et al., issued February 11, 1992; U.S. Patent No. 5,073,372, to Turner et al., issued December 17, 1991; U.S. Patent No. 5,073,371, to Turner et al. issued December 17, 1991; and Segar, et al., at Chapter VIII, pages 189 et seq., of Cosmetics Science and Technology, all of which are incorporated herein by reference in their entirety. Preferred among those sunscreens which are useful in the compositions of the instant invention are those selected from the group consisting of 2-ethylhexyl p-methoxycinnamate, 2-ethylhexyl N,N-dimethyl-p-aminobenzoate, p-aminobenzoic acid, 2-phenylbenzimidazole-5-sulfonic acid, octocrylene, oxybenzone, homomenthyl salicylate, octyl salicylate, 4,4'-methoxy-t-butylidibenzoylmethane, 4-isopropyl dibenzoylmethane, 3-benzylidene camphor, 3-(4-methylbenzylidene) camphor, titanium dioxide, zinc oxide, silica, iron oxide, and mixtures thereof.

Still other useful sunscreens are those disclosed in U.S. Patent No. 4,937,370, to Sabatelli, issued June 26, 1990; and U.S. Patent No. 4,999,186, to Sabatelli et al., issued March 12, 1991; these two references are incorporated by reference herein in their entirety. The sunscreens disclosed therein have, in a single molecule, two distinct chromophore moieties which exhibit different ultra-violet radiation absorption spectra. One of the chromophore moieties absorbs predominantly in the UVB radiation range and the other absorbs strongly in the UVA radiation range. These sunscreens provide higher efficacy, broader UV absorption, lower skin penetration and longer lasting efficacy relative to conventional sunscreens. Especially preferred examples of these sunscreens include those selected from the group consisting of 4-N,N-(2-ethylhexyl)methylaminobenzoic acid ester of 2,4-dihydroxybenzophenone, 4-N,N-(2-ethylhexyl)methylaminobenzoic acid ester with 4-hydroxydibenzoylmethane, 4-N,N-(2-ethylhexyl)methylaminobenzoic acid ester of 2-hydroxy-4-(2-hydroxyethoxy)benzophenone, 4-N,N-(2-ethylhexyl)-methylaminobenzoic acid ester of 4-(2-hydroxyethoxy)dibenzoylmethane, and mixtures thereof.

Generally, the sunscreens can comprise from about 0.5% to about 20% of the compositions useful herein. Exact amounts will vary depending upon the sunscreen chosen and the desired Sun Protection Factor (SPF). SPF is a commonly used measure of photoprotection of a sunscreen against erythema. See Federal Register, Vol. 43, No. 166, pp. 38206-38269, August 25, 1978, which is incorporated herein by reference in its entirety.

Also useful in the present invention are sunless tanning actives including dihydroxyacetone, glyceraldehyde, indoles and their derivatives, and the like. These sunless tanning actives may also be used in combination with the sunscreen agents.

Other useful actives include skin bleaching (or lightening) actives including but not limited to hydroquinone, ascorbic acid, kojic acid and sodium metabisulfite.

Other useful actives which are especially useful for hair care compositions include anti-dandruff actives such as zinc pyrithione, octopirox, selenium disulfide, sulfur, coal tar, and the like.

Other useful actives include antiperspirant actives. Suitable for use herein are those which comprise any compound, composition or mixture thereof having antiperspirant activity. Astringent metallic salts are preferred antiperspirant materials for use herein, particularly the inorganic and organic salts of aluminum, zirconium and zinc, as well as mixtures thereof. Particularly preferred are the aluminum and zirconium salts, such as aluminum halides, aluminum hydroxy halides, zirconyl oxide halides, zirconyl hydroxy halides, and mixtures thereof.

Additionally, deodorant actives in the form of bacteriostats may be incorporated into the present compositions. Suitable deodorant bacteriostats include 2,2'-methylenebis(3,4,6-trichlorophenol), 2,4,4'-trichloro-2'-hydroxy(diphenyl ether), zinc phenolsulfonate, 2,2'-thiobis(4,6-dichlorophenol), p-chloro-m-xenol, dichloro-m-xenol and the like. Most preferred is 2,4,4'-trichloro-2'-hydroxy(diphenyl ether), which is generically known as triclosan and available from the Ciba-Geigy Corporation under the trademark, Irgasan DP-300 Registered TM. When triclosan is utilized it will be present in a range from about 0.05 to about 0.9%, preferably from about 0.1 to about 0.5% by weight of the composition. Other types of bacteriostats include sodium N-lauroyl sarcosine, sodium N-palmitoyl sarcosine, lauroyl sarcosine, N-myristoyl glycine, potassium N-lauroyl sarcosine and aluminum chlorhydroxy lactate (sold by Reheis Chemical Company under trademark of Chloracel).

#### Conditioners

Conditioning agents useful herein, and especially useful for hair care compositions, include hydrocarbons, silicone fluids, and cationic materials.

The hydrocarbons can be either straight or branched chain and can contain from about 10 to about 16, preferably from about 12 to about 16 carbon atoms. Examples of suitable hydrocarbons are decane, dodecane, tetradecane, tridecane, and mixtures thereof.

Silicone conditioning agents useful herein can include either cyclic or linear polydimethylsiloxanes, phenyl and alkyl phenyl silicones, and silicone copolyols. The linear volatile silicones generally have viscosities of less than about 5 centistokes at 25 °C, while the cyclic materials have viscosities less than about 10 centistokes.

Cationic conditioning agents useful herein include quaternary ammonium salts or the salts of fatty amines. These additional cationic agents are used herein for the purpose of providing conditioning and are separate and apart from the complexing agents of the present invention. Preferred quaternary ammonium salts are dialkyl dimethyl ammonium chlorides, wherein the alkyl groups have from 12 to 22 carbon atoms and are derived from long-chain fatty acids. Representative examples of quaternary ammonium salts include ditallow dimethyl ammonium chloride, ditallow dimethyl ammonium methyl sulfate, dihexadecyl dimethyl ammonium chloride, and di(hydrogenated tallow) ammonium chloride. Other quaternary ammonium salts useful herein are dicationics such as

tallow propane diammonium dichloride. Quaternary imidazolinium salts are also useful herein. Examples of such materials are those imidazolinium salts containing C12-22 alkyl groups such as 1-methyl-1-[(stearoylamide)ethyl]-2-heptadecyl-4, 5-dihydroimidazolinium chloride, 1-methyl-1-[(palmitoylamide)ethyl]-2-octadecyl-4,5-dihydroimidazolinium chloride and 1-methyl-1-[(tallowamide)-ethyl]-2-tallow-imidazolinium methyl sulfate. Also useful herein are salts of fatty amines. Examples of such compounds include stearylamine hydrochloride, soyamine hydrochloride, and stearylamine formate. Useful conditioning agents are disclosed in U.S. Patent No. 4,387,090, to Bolich, issued June 7, 1983, which is incorporated by reference herein.

#### Humectants and Moisturizers

The compositions of the present invention can contain one or more humectant or moisturizing materials. A variety of these materials can be employed and each can be present at a level of from about 0.1% to about 20%, more preferably from about 1% to about 10% and most preferably from about 2% to about 5%. These materials include urea; guanidine; glycolic acid and glycol ate salts (e.g. ammonium and quaternary alkyl ammonium); lactic acid and lactate salts (e.g. ammonium and quaternary alkyl ammonium); aloe vera in any of its variety of forms (e.g., aloe vera gel); polyhydroxy alcohols such as sorbitol, glycerol, hexanetriol, propylene glycol, butylene glycol, hexylene glycol and the like; polyethylene glycols; sugars and starches; sugar and starch derivatives (e.g., alkoxylated glucose); hyaluronic acid; lactamide monoethanolamine; acetamide monoethanolamine; and mixtures thereof. Preferred humectants and moisturizers are glycerol, butylene glycol, hexylene glycol, and mixtures thereof.

#### Surfactants

The compositions of the present invention, especially the shampoo and conditioner compositions, can contain one or more surfactants. These surfactants are useful adjuncts for the carriers of the present compositions, and are not required for solubilizing, dispersing or complexing the copolymers of the present invention. For a shampoo, the level is preferably from about 10% to about 30%, preferably from 12% to about 25%, of the composition. For conditioners, the preferred level of surfactant is from about 0.2% to about 3%. Surfactants useful in compositions of the present invention include anionic, nonionic, cationic, zwitterionic and amphoteric surfactants. A wide variety of surfactants useful herein are disclosed in U.S. Patent No. 5,151,209, to Mc Call et al., issued September 29, 1992; U.S. Patent No. 5,151,210, to Steuri et al., issued September 29, 1992; and U.S. Patent No. 5,120,532, to Wells et al., issued June 9, 1992, all of which are incorporated by reference herein.

Nonlimiting examples of these surfactants include anionic surfactants such as alkyl and alkyl ether sulfates. These materials typically have the respective formulae  $\text{ROSO}_3\text{M}$  and  $\text{RO}(\text{C}_2\text{H}_4\text{O})_x\text{SO}_3\text{M}$ , wherein R is alkyl or alkenyl of from about 10 to about 20 carbon atoms, x is 1 to 10, and M is a water-soluble cation such as ammonium, sodium, potassium and triethanolamine.

Another suitable class of anionic surfactants are the water-soluble salts of the organic, sulfuric acid reaction products of the formula:



wherein  $R_1$  is chosen from the group consisting of a straight or branched chain, saturated aliphatic hydrocarbon radical having from about 8 to about 24, preferably about 12 to about 18, carbon atoms; and M is a cation. Additional examples of anionic synthetic surfactants which come within the terms of the present invention are the reaction products of fatty acids esterified with isethionic acid and neutralized with sodium hydroxide where, for example, the fatty acids are derived from coconut oil; sodium or potassium salts of fatty acid amides of methyl tauride in which the fatty acids, for example, are derived from coconut oil. Still other anionic synthetic surfactants include the class designated as succinamates, olefin sulfonates having about 12 to about 24 carbon atoms, and b-alkyloxy alkane sulfonates. Many additional nonsoap synthetic anionic surfactants are described in McCutcheon's, Detergents and Emulsifiers, 1984 Annual, published by Allured Publishing Corporation, which is incorporated herein by reference. Also U.S. Patent 3,929,678, Laughlin et al., issued December 30, 1975, discloses many other anionic as well as other surfactant types and is incorporated herein by reference.

Nonionic surfactants useful herein are preferably used in combination with an anionic, amphoteric or zwitterionic surfactant. These nonionic surfactants can be broadly defined as compounds produced by the condensation of alkylene oxide groups (hydrophilic in nature) with an organic hydrophobic compound, which may be aliphatic or alkyl aromatic in nature.

Cationic surfactants useful in compositions of the present invention are disclosed in the following documents, all incorporated by reference herein: M.C. Publishing Co., McCutcheon's, Detergents & Emulsifiers, (North American edition 1979); Schwartz, et al., Surface Active Agents, Their Chemistry and Technology, New York: Interscience Publishers, 1949; U.S. Patent 3,155,591, Hilfer, issued November 3, 1964; U.S. Patent 3,929,678, Laughlin, et al., issued December 30, 1975; U.S. Patent 3,959,461, Bailey, et al., issued May 25, 1976; and U.S. Patent 4,387,090, Bolich, Jr., issued June 7, 1983. If included in the compositions of the present invention, the cationic surfactant is present at from about 0.05% to about 5%.

Zwitterionic surfactants are exemplified by those which can be broadly described as derivatives of aliphatic quaternary ammonium, phosphonium, and sulfonium compounds, in which the aliphatic radicals can be straight or branched chain, and wherein one of the aliphatic substituents contains from about 8 to about 18 carbon atoms and one contains an anionic water-solubilizing group, e.g., carboxy, sulfonate, sulfate, phosphate, or phosphonate. Other zwitterionics such as betaines are also useful in the present invention. Examples of betaines include the high alkyl betaines, such as coco dimethyl carboxymethyl betaine, lauryl dimethyl carboxymethyl betaine, lauryl dimethyl alphacarboxyethyl betaine, cetyl dimethyl carboxymethyl betaine, lauryl bis-(2-hydroxyethyl) carboxymethyl betaine, stearyl bis-(2-hydroxypropyl) carboxymethyl betaine, oleyl

dimethyl gamma-carboxypropyl betaine, lauryl bis-(2-hydroxypropyl)alpha-carboxyethyl betaine, coco dimethyl sulfopropyl betaine, stearyl dimethyl sulfopropyl betaine, lauryl dimethyl sulfoethyl betaine, lauryl bis-(2-hydroxyethyl) sulfopropyl betaine, and amidobetaines and amidosulfobetaines (wherein the  $\text{RCONH}(\text{CH}_2)_3$  radical is attached to the nitrogen atom of the betaine).

Examples of amphoteric surfactants which can be used in the compositions of the present invention are those which are broadly described as derivatives of aliphatic secondary and tertiary amines in which the aliphatic radical can be straight or branched chain and wherein one of the aliphatic substituents contains from about 8 to about 18 carbon atoms and one contains an anionic water solubilizing group, e.g., carboxy, sulfonate, sulfate, phosphate, or phosphonate. Examples of compounds falling within this definition are sodium 3-dodecyl-aminopropionate, sodium 3-dodecylaminopropane sulfonate, N-alkyltaurines such as the one prepared by reacting dodecylamine with sodium isethionate according to the teaching of U.S. Patent 2,658,072, N-higher alkyl aspartic acids such as those produced according to the teaching of U.S. Patent 2,438,091, and the products sold under the trade name "Miranol" and described in U.S. Patent 2,528,378.

#### Carboxylic Acid Copolymer Thickeners

Another component useful in the compositions herein is a carboxylic copolymer thickener. These crosslinked polymers contain one or more monomers derived from acrylic acid, substituted acrylic acids, and salts and esters of these acrylic acids and the substituted acrylic acids, wherein the crosslinking agent contains two or more carbon-carbon double bonds and is derived from a polyhydric alcohol. These polymers are included in addition to the fatty acid component of the present invention to provide a thickening action and are not required for solubilizing, dispersing, or complexing the copolymers of the present invention. The preferred polymers for use herein are of two general types. The first type of polymer is a crosslinked homopolymer of an acrylic acid monomer or derivative thereof (e.g., wherein the acrylic acid has substituents on the two and three carbon positions independently selected from the group consisting of  $\text{C}_{1-4}$  alkyl, -CN, -COOH, and mixtures thereof). The second type of polymer is a crosslinked copolymer having a first monomer selected from the group consisting of an acrylic acid monomer or derivative thereof (as just described in the previous sentence), a short chain alcohol (i.e. a  $\text{C}_{1-4}$ ) acrylate ester monomer or derivative thereof (e.g., wherein the acrylic acid portion of the ester has substituents on the two and three carbon positions independently selected from the group consisting of  $\text{C}_{1-4}$  alkyl, -CN, -COOH, and mixtures thereof), and mixtures thereof; and a second monomer which is a long chain alcohol (i.e.  $\text{C}_{8-40}$ ) acrylate ester monomer or derivative thereof (e.g., wherein the acrylic acid portion of the ester has substituents on the two and three carbon positions independently selected from the group consisting of  $\text{C}_{1-4}$  alkyl, -CN, -COOH, and mixtures thereof). Combinations of these two types of polymers are also useful herein.

In the first type of crosslinked homopolymers the monomers are preferably selected from the group consisting of acrylic acid, methacrylic acid, ethacrylic acid, and mixtures thereof, with

acrylic acid being most preferred. In the second type of crosslinked copolymers the acrylic acid monomer or derivative thereof is preferably selected from the group consisting of acrylic acid, methacrylic acid, ethacrylic acid, and mixtures thereof, with acrylic acid, methacrylic acid, and mixtures thereof being most preferred. The short chain alcohol acrylate ester monomer or derivative thereof is preferably selected from the group consisting of C<sub>1-4</sub> alcohol acrylate esters, C<sub>1-4</sub> alcohol methacrylate esters, C<sub>1-4</sub> alcohol ethacrylate esters, and mixtures thereof, with the C<sub>1-4</sub> alcohol acrylate esters, C<sub>1-4</sub> alcohol methacrylate esters, and mixtures thereof, being most preferred. The long chain alcohol acrylate ester monomer is selected from C<sub>8-40</sub> alkyl acrylate esters, with C<sub>10-30</sub> alkyl acrylate esters being preferred.

The crosslinking agent in both of these types of polymers is a polyalkenyl polyether of a polyhydric alcohol containing more than one alkenyl ether group per molecule, wherein the parent polyhydric alcohol contains at least 3 carbon atoms and at least 3 hydroxyl groups. Preferred crosslinkers are those selected from the group consisting of allyl ethers of sucrose and allyl ethers of pentaerythritol, and mixtures thereof. These polymers useful in the present invention are more fully described in U.S. Patent No. 5,087,445, to Haffey et al., issued February 11, 1992; U.S. Patent No. 4,509,949, to Huang et al., issued April 5, 1985; U.S. Patent No. 2,798,053, to Brown, issued July 2, 1957; which are incorporated by reference herein. See also, CTFA International Cosmetic Ingredient Dictionary, fourth edition, 1991, pp. 12 and 80; which are also incorporated herein by reference.

Examples of commercially available homopolymers of the first type useful herein include the carbomers, which are homopolymers of acrylic acid crosslinked with allyl ethers of sucrose or pentaerythritol. The carbomers are available as the Carbopol® 900 series from B.F. Goodrich. Examples of commercially available copolymers of the second type useful herein include copolymers of C<sub>10-30</sub> alkyl acrylates with one or more monomers of acrylic acid, methacrylic acid, or one of their short chain (i.e. C<sub>1-4</sub> alcohol) esters, wherein the crosslinking agent is an allyl ether of sucrose or pentaerythritol. These copolymers are known as acrylates/C<sub>10-30</sub> alkyl acrylate crosspolymers and are commercially available as Carbopol® 1342, Pemulen TR-1, and Pemulen TR-2, from B.F. Goodrich. In other words, examples of carboxylic acid polymer thickeners useful herein are those selected from the group consisting of carbomers, acrylates/C<sub>10-30</sub> alkyl acrylate crosspolymers, and mixtures thereof.

The compositions of the present can comprise from about 0.025% to about 1%, more preferably from about 0.05% to about 0.75% and most preferably from about 0.10% to about 0.50% of the carboxylic acid polymer thickeners.

#### Emulsifiers

The compositions herein can contain various emulsifiers. These emulsifiers are useful for emulsifying the various carrier components of the compositions herein, and are not required for solubilizing or dispersing the copolymers of the present invention. Suitable emulsifiers can include any of a wide variety of nonionic, cationic, anionic, and zwitterionic emulsifiers disclosed in the prior

patents and other references. See McCutcheon's, Detergents and Emulsifiers, North American Edition (1986), published by Allured Publishing Corporation; U.S. Patent No. 5,011,681 to Ciotti et al., issued April 30, 1991; U.S. Patent No. 4,421,769 to Dixon et al., issued December 20, 1983; and U.S. Patent No. 3,755,560 to Dickert et al., issued August 28, 1973; these four references are incorporated herein by reference in their entirety.

Suitable emulsifier types include esters of glycerin, esters of propylene glycol, fatty acid esters of polyethylene glycol, fatty acid esters of polypropylene glycol, esters of sorbitol, esters of sorbitan anhydrides, carboxylic acid copolymers, esters and ethers of glucose, ethoxylated ethers, ethoxylated alcohols, alkyl phosphates, polyoxyethylene fatty ether phosphates, fatty acid amides, acyl lactylates, soaps and mixtures thereof.

Suitable emulsifiers can include, but are not limited to, polyethylene glycol 20 sorbitan monolaurate (Polysorbate 20), polyethylene glycol 5 soya sterol, Steareth-20, Cetareth-20, PPG-2 methyl glucose ether distearate, Ceteth-10, Polysorbate 80, cetyl phosphate, potassium cetyl phosphate, diethanolamine cetyl phosphate, Polysorbate 60, glyceryl stearate, PEG-100 stearate, and mixtures thereof. The emulsifiers can be used individually or as a mixture of two or more and can comprise from about 0.1% to about 10%, more preferably from about 1% to about 7%, and most preferably from about 1% to about 5% of the compositions of the present invention.

#### Emollients

The compositions useful in the methods of the present invention can also optionally comprise at least one emollient. Examples of suitable emollients include, but are not limited to, volatile and non-volatile silicone oils, highly branched hydrocarbons, and non-polar carboxylic acid and alcohol esters, and mixtures thereof. Emollients useful in the instant invention are further described in U.S. Patent No. 4,919,934, to Deckner et al., issued April 24 1990, which is incorporated herein by reference in its entirety.

The emollients can typically comprise in total from about 1% to about 50%, preferably from about 1% to about 25%, and more preferably from about 1% to about 10% by weight of the compositions useful in the present invention.

#### Additional Components

A variety of additional components can be incorporated into the compositions herein. Non-limiting examples of these additional components include vitamins and derivatives thereof (e.g., ascorbic acid, vitamin E, tocopheryl acetate, retinoic acid, retinol, retinoids, and the like); low pH thickening agents (e.g. polyacrylamide and C<sub>13-14</sub> isoparaffin and laureth-7, available as Sepigel from Seppic Corporation; polyquaternium and mineral oil, available as Salcare SC92, from Allied Colloids; crosslinked methyl quaternized dimethylaminomethacrylate and mineral oil, available as Salcare SC95 from Allied Colloids; resins; gums and thickeners such as xanthan gum, carboxymethyl cellulose, hydroxymethyl cellulose, hydroxyethyl cellulose, alkyl-modified hydroxyalkyl celluloses (e.g. long chain alkyl modified hydroxyethyl celluloses such as cetyl hydroxyethylcellulose), and



magnesium aluminum silicate; cationic polymers and thickeners (e.g., cationic guar gum derivatives such as guar hydroxypropyltrimonium chloride and hydroxypropyl guar hydroxypropyltrimonium chloride, available as the Jaguar C series from Rhone-Poulenc; polymers for aiding the film-forming properties and substantivity of the composition (such as a copolymer of eicosene and vinyl pyrrolidone, an example of which is available from GAF Chemical Corporation as Ganex® V-220); suspending agents such as ethylene glycol distearate and the like; preservatives for maintaining the antimicrobial integrity of the compositions; skin penetration aids such as DMSO, 1-dodecylazacycloheptan-2-one (available as Azone from the Upjohn Co.) and the like; antioxidants; chelators and sequestrants; and aesthetic components such as fragrances, colorings, essential oils, skin sensates, astringents, skin soothing agents, skin healing agents and the like, nonlimiting examples of these aesthetic components include panthenol and derivatives (e.g. ethyl panthenol), pantothenic acid and its derivatives, clove oil, menthol, camphor, eucalyptus oil, eugenol, menthyl lactate, witch hazel distillate, allantoin, bisabolol, dipotassium glycyrrhizinate and the like.

#### Method of Using Hair and Skin Care Compositions

The hair care and skin care compositions of the present invention are used in conventional ways to provide the desired benefit appropriate to the product such as hair styling, holding, cleansing, conditioning and the like for hair care compositions and benefits such as moisturization, sun protection, anti-acne, anti-wrinkling, artificial tanning, analgesic, and other cosmetic and pharmaceutical benefits for skin care compositions. Such methods of use depend upon the type of composition employed but generally involve application of an effective amount of the product to the hair or skin, which may then be rinsed from the hair or skin (as in the case of shampoos and some conditioning products) or allowed to remain on the hair (as in the case of spray, mousse, or gel products), or allowed to remain on the skin (as in the case of the skin care compositions). By "effective amount" is meant an amount sufficient to provide the benefit desired. Preferably, hair rinse, mousse, and gel products are applied to wet or damp hair prior to drying and styling of the hair. After such compositions are applied to the hair, the hair is dried and styled in the usual ways of the user. Hair sprays are typically applied to dry hair after it has already been dried and styled. Cosmetic and pharmaceutical topical skin care compositions are applied to and rubbed into the skin.

#### EXAMPLES

The following examples further illustrate preferred embodiments within the scope of the present invention. The examples are given solely for the purposes of illustration and are not to be construed as limitations of the present invention as many variations of the invention are possible without departing from its spirit and scope.

Ingredients are identified by chemical or CTFA name.

The following synthetic procedure is exemplary of the methods useful for synthesizing the copolymers of the present invention.

#### EXAMPLE 1

##### Synthesis of Poly[(*t*-butyl acrylate)-(dimethylaminopropylacrylamide)-graft-polydimethylsiloxane]

Place 70 parts of *t*-butyl acrylate, 10 parts dimethylaminopropylacrylamide and 20 parts of polydimethylsiloxane macromonomer (13,000 MW) (commercially available from 3M, St. Paul, MN) in a flask. Add sufficient acetone as the reaction solvent to produce a final monomer concentration of 20%. Purge the vessel with an inert atmosphere, preferably nitrogen or argon. Add initiator, (2,2'-azobisisobutyronitrile) to a level appropriate for the desired molecular weight. Typically this is in the range of about 0.5% to about 1.0% by weight relative to the amount of monomer. Heat to 60°C and maintain this temperature for 6 hours while agitating. Terminate the reaction by cooling to room temperature. The polymer is purified by drying off the reaction solvent in an oven.

#### EXAMPLE 2

##### Synthesis of Poly[(*t*-butyl acrylate)-(dimethylaminoethylmethacrylate)-graft-polydimethylsiloxane]

Place 60 parts of *t*-butyl acrylate, 20 parts dimethylaminoethylmethacrylate and 20 parts of polydimethylsiloxane macromonomer (13,000 MW) (commercially available from 3M, St. Paul, MN) in a flask. Add sufficient acetone as the reaction solvent to produce a final monomer concentration of 20%. Purge the vessel with an inert atmosphere, preferably nitrogen or argon. Add initiator, (2,2'-azobisisobutyronitrile) to a level appropriate for the desired molecular weight. Typically this is in the range of about 0.5% to about 1.0% by weight relative to the amount of monomer. Heat to 60°C and maintain this temperature for 6 hours while agitating. Terminate the reaction by cooling to room temperature. The polymer is purified by drying off the reaction solvent in an oven.

#### EXAMPLE 3

##### Synthesis of Poly[(*t*-butyl acrylate)-(dimethylaminoethylmethacrylate)-(2-ethylhexylmethacrylate)]

Place 70 parts of *t*-butyl acrylate, 10 parts dimethylaminoethylmethacrylate and 20 parts of 2-ethylhexylmethacrylate in a flask. Add sufficient acetone as the reaction solvent to produce a final monomer concentration of 20%. Purge the vessel with an inert atmosphere, preferably nitrogen or argon. Add initiator, (2,2'-azobisisobutyronitrile) to a level appropriate for the desired molecular weight. Typically this is in the range of about 0.5% to about 1.0% by weight relative to the amount of monomer. Heat to 60°C and maintain this temperature for 6 hours while agitating. Terminate the reaction by cooling to room temperature. The polymer is purified by drying off the reaction solvent in an oven.

#### EXAMPLE 4

##### Synthesis of Poly[(*t*-butyl acrylate)-(dimethylaminopropylacrylamide)-graft-polyisobutylene]

Place 70 parts of *t*-butyl acrylate, 10 parts dimethylaminopropylacrylamide and 20 parts of polyisobutylene macromonomer in a flask. Add sufficient acetone as the reaction solvent to produce a final monomer concentration of 20%. Purge the vessel with an inert atmosphere, preferably

nitrogen or argon. Add initiator, (2,2'-azobisisobutyronitrile) to a level appropriate for the desired molecular weight. Typically this is in the range of about 0.5% to about 1.0% by weight relative to the amount of monomer. Heat to 60°C and maintain this temperature for 6 hours while agitating. Terminate the reaction by cooling to room temperature. The polymer is purified by drying off the reaction solvent in an oven.

#### EXAMPLE 5

##### Synthesis of Poly[(*t*-butyl acrylate)-(dimethylaminoethylmethacrylate)-graft-polyisobutylene]

Place 60 parts of *t*-butyl acrylate, 20 parts dimethylaminoethylmethacrylate and 20 parts of polyisobutylene macromonomer in a flask. Add sufficient acetone as the reaction solvent to produce a final monomer concentration of 20%. Purge the vessel with an inert atmosphere, preferably nitrogen or argon. Add initiator, (2,2'-azobisisobutyronitrile) to a level appropriate for the desired molecular weight. Typically this is in the range of about 0.5% to about 1.0% by weight relative to the amount of monomer. Heat to 60°C and maintain this temperature for 6 hours while agitating. Terminate the reaction by cooling to room temperature. The polymer is purified by drying off the reaction solvent in an oven.

#### EXAMPLE 6

##### Synthesis of Poly[(*t*-butyl acrylate)-(dimethylaminoethylmethacrylate)-(2-ethylhexylmethacrylate)]

Place 70 parts of *t*-butyl acrylate, 10 parts dimethylaminoethylmethacrylate and 20 parts of 2-ethylhexylmethacrylate in a flask. Add sufficient acetone as the reaction solvent to produce a final monomer concentration of 20%. Purge the vessel with an inert atmosphere, preferably nitrogen or argon. Add initiator, (2,2'-azobisisobutyronitrile) to a level appropriate for the desired molecular weight. Typically this is in the range of about 0.5% to about 1.0% by weight relative to the amount of monomer. Heat to 60°C and maintain this temperature for 6 hours while agitating. Terminate the reaction by cooling to room temperature. The polymer is purified by drying off the reaction solvent in an oven.

By varying the monomers and macromonomers used, the general procedures given above in Examples 1-6 are used to prepare other copolymers of the present invention.

#### EXAMPLE 7

The following is a hair conditioner composition representative of the present invention.

Component	Weight %
Water	Q.S. to 100%
Hydrophobically Modified Hydroxyethylcellulose [1]	0.25 %
Stearalkonium Chloride	0.87 %
Cetyl Alcohol	1.85 %
Stearyl Alcohol	0.21 %
Stearamidopropyl Dimethylamine	0.50 %
CF1213 (Dimethicone Gum) [2]	2.33%

Methylchloroithiazolinone Methylisothiazolinone	0.03 %
Perfume	0.33 %
Copolymer # 1	2.00 %
myristoleic acid	0.27 %
Cyclomethicone D4	9.63 %

[1] Polysurf 67® supplied by Aqualon

[2] Commercially available from GE

[3] Commercially available from Akzo

This product is prepared by dispersing the copolymer #1 in Cyclomethicone D4 (solvent) then adding the myristoleic acid. Heat the solution to 65 C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. The other components (except Kathon and perfume) are mixed in a separate vessel at a temperature high enough (80 C) to melt the solids. The polymer/solvent mixture and the dimethicone gum are added separately to the other components after those have been cooled to at least 45°C. Finally, Kathon and perfume are added, and the product cooled to ambient. This composition is useful for application to the hair to provide conditioning, styling and hold benefits.

#### EXAMPLE 8

The following is a hair conditioner composition representative of the present invention.

Component	Weight %
Water	Q.S. to 100%
Hydrophobically Modified Hydroxyethylcellulose [1]	0.50 %
Stearalkonium Chloride	0.87 %
Cetyl Alcohol	1.85 %
Stearyl Alcohol	0.21 %
Stearamidopropyl Dimethylamine	0.50 %
CF1213® (Dimethicone Gum) [2]	2.33%
Methylchloroithiazolinone Methylisothiazolinone	0.03 %
Perfume	0.33 %
Copolymer # 2	2.00 %
Oleic Acid	0.71 %
Isododecane	9.63 %

[1] Polysurf 67® supplied by Aqualon

[2] Commercially available from GE

This product is prepared by dispersing the copolymer #2 in Isododecane (solvent) then adding the oleic acid. Heat the solution to 65°C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. The other components (except Kathon and perfume) are mixed in a separate vessel at a temperature high enough (80°C) to melt the solids. The

polymer/solvent mixture and the dimethicone gum are added separately to the other components after those have been cooled to at least 45°C. Finally, Kathon and perfume are added, and the product cooled to ambient. This composition is useful for application to the hair to provide conditioning, styling and hold benefits.

#### EXAMPLE 9

The following is a hair shampoo composition representative of the present invention.

Component	Weight %
Water	Q.S. to 100 %
Ammonium Lauryl Sulfate	3.14 %
Ammonium Laureth Sulfate	13.56 %
Cetyl Alcohol	0.45 %
Stearyl Alcohol	0.19 %
Coco Monoethanol Amide	3.00 %
Ethylene Glycol Distearate	2.00 %
Tricetyl Methyl Ammonium Chloride	0.50 %
Methylchloroisothiazolinone Methylisothiazolinone	0.03 %
Perfume	0.20 %
Copolymer # 1	4.00 %
Palmitoleic acid	0.60 %
Isododecane	7.40 %

This product is prepared by dispersing the copolymer #1 in isododecane (solvent) then adding the palmitoleic acid. Heat the solution to 65°C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. The other components are mixed in a separate vessel at a temperature high enough to melt the solids. The polymer/solvent mixture is added to the other components after those have been cooled. This composition is useful for application to the hair to provide cleansing, styling and hold benefits.

#### EXAMPLE 10

The following is a hair shampoo composition representative of the present invention.

Component	Weight %
Water	Q.S. to 100 %
Cocoamidopropyl Betaine	8.30 %
Ammonium Lauryl Sulfate	2.12 %
Ammonium Laureth Sulfate	6.35 %
Coco Monoethanol Amide	1.50 %
Hydroxypropyl Methocellulose (K15)	0.25 %
Ethylene Glycol Distearate	1.50 %
Tricetyl Methyl Ammonium Chloride	0.50 %

Methylchloroisothiazolinone Methylisothiazolinone	0.03 %
Perfume	0.20 %
Copolymer # 1	3.00 %
oleic acid	0.50 %
Isododecane	10.00 %

[1] Commercially available from Akzo

This product is prepared by dispersing the copolymer #1 in isododecane (solvent) then adding the oleic acid. Heat the solution to 65°C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. The other components are mixed in a separate vessel at a temperature high enough to melt the solids. The polymer/solvent solution is added to the other components after those have been cooled.

#### EXAMPLE 11

The following is a hair styling gel composition representative of the present invention.

Component	Weight %
<u>Polymer-Solvent Mix</u>	
Copolymer # 1	1.25 %
palmitoleic acid	0.19 %
Isopar H® [1]	3.75 %
<u>Premix</u>	
Water	43.00 %
Hydrogenated Ditallowdimonium Chloride (Quaternium 18)	1.00 %
<u>Main Mix</u>	
Water	48.47 %
Carbopol 940®	0.75 %
Triethanol Amine	1.00 %
Panthenol	0.05 %
Perfume	0.20 %

[1] C<sub>11</sub>-C<sub>12</sub> Isoparaffin, available from Exxon Chemical Co.

This product is prepared by dispersing the copolymer #1 in Isopar H® (solvent) then adding the palmitoleic acid. Heat the solution to 65 C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. Quaternium 18 is mixed with water at 80°C. The polymer-solvent mixture is added to the Quaternium 18 containing premix at either high or low temperature. The other components are mixed in a separate vessel at ambient temperature. The Quaternium 18 premix with the polymer/solvent mixture is cooled (if needed) and added to the other components. This composition is useful for application to the hair to provide conditioning, styling and hold benefits.

**EXAMPLE 12**

The following is a spray-on gel hair composition representative of the present invention.

Component	Weight %
Water	Q.S. to 100 %
Tallowtrimonium Chloride	0.10 %
Hydrogenated Ditallowdimonium Chloride (Quaternium 18)	0.90 %
Panthenol	0.05 %
Perfume	0.20 %
Copolymer # 3	1.00 %
Oleic Acid	0.18 %
Hexamethyl disiloxane	3.00 %

This product is prepared by dissolving the copolymer #2 in hexamethyl disiloxane (solvent) then adding the oleic acid. Heat the solution to 65°C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent.. The other components are mixed in a separate vessel at a temperature high enough (70°C) to melt the solids. The polymer/solvent solution is added to the other components at either high or low temperature. This composition is useful for application to the hair to provide conditioning, styling and hold benefits.

**EXAMPLE 13**

The following is a hair styling rinse composition representative of the present invention.

Component	Weight %
<b><u>Premix A</u></b>	
Water	8.14 %
Ditallowdimonium Chloride (Varisoft 470)	1.43 %
CF1213® (Dimethicone Gum) [2]	2.33%
Amodimethicone	0.10 %
<b><u>Premix B</u></b>	
Water	5.45 %
Stearalkonium Chloride	0.30 %
Panthenol DL	0.225 %
Pantyl Ethyl Ether	0.025 %
<b><u>Main Mix</u></b>	
Water	67.05 %
Hydrophobically Modified Hydroxyethylcellulose [1]	1.23 %
Xanthan Gum	0.25%
Citric Acid	0.02 %
Sodium Citrate	0.09 %

Cetyl Alcohol	0.12 %
Stearyl Alcohol	0.08 %
<u>Polymer-Solvent Mixture</u>	
Copolymer # 1	2.00 %
Cyclomethicone D4	10.00 %
myristoleic acid	0.30 %
Methylchloroisothiazolinone Methylisothiazolinone	0.03 %
Perfume	0.33 %
Benzyl Alcohol	0.50 %

[1] Polysurf 67® supplied by Aqualon

[2] Commercially available from GE

This product is prepared by dispersing the copolymer #1 in the cyclomethicone D4(solvent) then adding the myristoleic acid. Heat the solution to 65°C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. Premixes A and B are prepared by combining materials at 70°C. Premix A goes through a colloid mill and is cooled to 38°C. Materials in 'Main Mix', except benzyl alcohol, are mixed at 65°C. The polymer solution is then added to the 'Main Mix'. Main mix goes through a colloid mill and is cooled to 38°C. Premixes and 'Main Mix' are combined at 38°C. Then the benzyl alcohol is added. This composition is useful for application to the hair to provide conditioning, styling and hold benefits.

#### EXAMPLE 14

The following is a hair styling mousse composition representative of the present invention.

Component	Weight %
Water	Q.S. to 100 %
Tallowtrimonium Chloride	0.10 %
Hydrogenated Ditallowdimonium Chloride (Quaternium 18)	0.90 %
Lauramine Oxide	0.20 %
Panthenol	0.05 %
Perfume	0.20 %
Copolymer # 2	1.00 %
oleic acid	0.36 %
Hexamethyl disiloxane	3.00 %
Isobutane	7.00 %

This product is prepared by dissolving the copolymer #2 in hexamethyl disiloxane (solvent) then adding the oleic acid. Heat the solution to 65°C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. The other components (except isobutane) are mixed in a separate vessel at a temperature high enough (70°C) to melt the solids. The



polymer/solvent solution is added to the other components after those have been cooled. Aluminum aerosol cans are then filled with 93 parts of this batch, affixed with a valve which is crimped into position, and lastly pressure filled with 7 parts Isobutane. This composition is useful for application to the hair to provide conditioning, styling and hold benefits.

#### EXAMPLE 15

The following is a hair styling spray composition representative of the present invention.

Component	Weight %
Water	Q.S. to 100 %
Panthenol	0.05 %
Perfume	0.20 %
Copolymer # 1	2.00 %
palmitoleic acid	0.30 %
Cyclomethicone D4	7.00 %
Isobutane	25.00 %

This product is prepared by dispersing the copolymer #1 in Cyclomethicone D4 (solvent) then adding the palmitoleic acid. Heat the solution to 65 C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. The other components (except isobutane) are mixed in a separate vessel. The polymer/solvent solution is added to the other components. Aluminum aerosol cans are then filled with 75 parts of this batch, affixed with a valve which is crimped into position, and lastly pressure filled with 25 parts Isobutane. This composition is useful for application to the hair to provide conditioning, styling and hold benefits

When the compositions defined in Examples 4 - 12 are applied to hair in the conventional manner, they provide effective hair conditioning and styling/hold benefits without leaving the hair with a sticky/stiff feel.

#### EXAMPLE 16

The following is a hair styling rinse composition representative of the present invention.

Component	Weight %
<u>Premix A</u>	
Water	8.14 %
Ditallowdimonium Chloride (Varisoft 470)	1.43 %
CF1213® (Dimethicone Gum) [2]	2.33%
Amodimethicone	0.10 %

Premix B

Water	5.45 %
Stearalkonium Chloride	0.30 %
Panthenol DL	0.225 %
Pantyl Ethyl Ether	0.025 %

Main Mix

Water	70.47 %
Hydrophobically Modified Hydroxyethylcellulose [1]	1.23 %
Xanthan Gum	0.25%
Citric Acid	0.02 %
Sodium Citrate	0.09 %
Cetyl Alcohol	0.12 %
Stearyl Alcohol	0.08 %

Polymer-Solvent Mixture

Copolymer # 1	2.00 %
Cyclomethicone D4	6.58 %
myristoleic acid	0.30 %
Methylchlorisothiazolinone Methylisothiazolinone	0.03 %
Perfume	0.33 %
Benzyl Alcohol	0.50 %

[1] Polysurf 67® supplied by Aqualon

[2] Commercially available from GE

This product is prepared by dispersing the copolymer #1 in the cyclomethicone D4(solvent) then adding the myristoleic acid. Heat the solution to 65 C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. Premixes A and B are prepared by combining materials at 70°C. Premix A goes through a colloid mill and is cooled to 38°C. Materials in 'Main Mix', except benzyl alcohol, are mixed at 65°C. The polymer solution is then added to the 'Main Mix'. Main mix goes through a colloid mill and is cooled to 38°C. Premixes and 'Main Mix' are combined at 38°C. Then the benzyl alcohol is added. This composition is useful for application to the hair to provide conditioning, styling and hold benefits.

EXAMPLE 17

The following is a hair styling rinse composition representative of the present invention.

Component	Weight %
<u>Premix A</u>	
Water	8.14 %
Ditalowdimonium Chloride (Varisoft 470)	1.43 %
CF1213® (Dimethicone Gum) [2]	2.33%
Amodimethicone	0.10 %
<u>Premix B</u>	
Water	5.45 %
Stearalkonium Chloride	0.30 %
Panthenol DL	0.225 %
Pantyl Ethyl Ether	0.025 %
<u>Main Mix</u>	
Water	71.35 %
Hydrophobically Modified Hydroxyethylcellulose [1]	1.23 %
Xanthan Gum	0.25%
Citric Acid	0.02 %
Sodium Citrate	0.09 %
Cetyl Alcohol	0.12 %
Stearyl Alcohol	0.08 %
<u>Polymer-Solvent Mixture</u>	
Copolymer # 1	2.00 %
Cyclomethicone D4	5.7 %
myristoleic acid	0.30 %
Methylchlorisothiazolinone Methylisothiazolinone	0.03 %
Perfume	0.33 %
Benzyl Alcohol	0.50 %

[1] Polysurf 67® supplied by Aqualon

[2] Commercially available from GE

This product is prepared by dispersing the copolymer #1 in the cyclomethicone D4(solvent) then adding the myristoleic acid. Heat the solution to 65 C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. Premixes A and B are prepared by combining materials at 70°C. Premix A goes through a colloid mill and is cooled to 38°C. Materials in 'Main Mix', except benzyl alcohol, are mixed at 65°C. The polymer solution is then added to the 'Main Mix'. Main mix goes through a colloid mill and is cooled to 38°C. Premixes and 'Main Mix

are combined at 38°C. Then the benzyl alcohol is added. This composition is useful for application to the hair to provide conditioning, styling and hold benefits.

#### EXAMPLE 18

The following is a hair styling rinse composition representative of the present invention.

Component	Weight %
<u>Premix A</u>	
Water	8.14 %
Ditallowdimonium Chloride (Varisoft 470)	1.43 %
CF1213® (Dimethicone Gum) [2]	2.33%
Amodimethicone	0.10 %
<u>Premix B</u>	
Water	5.45 %
Stearalkonium Chloride	0.30 %
Panthenol DL	0.225 %
Pantyl Ethyl Ether	0.025 %
<u>Main Mix</u>	
Water	72.08 %
Hydrophobically Modified Hydroxyethylcellulose [1]	1.23 %
Xanthan Gum	0.25%
Citric Acid	0.02 %
Sodium Citrate	0.09 %
Cetyl Alcohol	0.12 %
Stearyl Alcohol	0.08 %
<u>Polymer-Solvent Mixture</u>	
Copolymer # 1	2.00 %
Isododecane	4.97 %
myristoleic acid	0.30 %
Methylchloroisothiazolinone Methylisothiazolinone	0.03 %
Perfume	0.33 %
Benzyl Alcohol	0.50 %

[1] Polysurf 67® supplied by Aqualon

[2] Commercially available from GE

This product is prepared by dispersing the copolymer #1 in the cyclomethicone D4(solvent) then adding the myristoleic acid. Heat the solution to 65 C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. Premixes A and B are prepared by combining materials at 70°C. Premix A goes through a colloid mill and is cooled to 38°C. Materials in 'Main Mix', except benzyl alcohol, are mixed at 65°C. The polymer solution is then added to the

'Main Mix'. Main mix goes through a colloid mill and is cooled to 38°C. Premixes and 'Main Mix' are combined at 38°C. Then the benzyl alcohol is added. This composition is useful for application to the hair to provide conditioning, styling and hold benefits.

#### EXAMPLE 19

##### Sunscreen Composition

An oil-in-water emulsion is prepared by combining the following components utilizing conventional mixing techniques.

##### Ingredients

<u>Phase A</u>	<u>Weight %</u>
Water	QS100
Carbomer 954 <sup>[1]</sup>	0.24
Carbomer 1342 <sup>[2]</sup>	0.16
Disodium EDTA	0.05
<u>Phase B</u>	
Isoarachidyl Neopentanoate <sup>[3]</sup>	2.00
PVP Eicosene Copolymer <sup>[4]</sup>	2.00
Octyl Methoxycinnamate	7.50
Octocrylene	4.00
Oxybenzone	1.00
Titanium Dioxide	2.00
Cetyl Palmitate	0.75
Stearoxytrimethylsilane (and)	
Stearyl Alcohol <sup>[5]</sup>	0.50
Glyceryl Tribehenate	0.75
Dimethicone	1.00
Tocopheryl Acetate	0.10
DEA-Cetyl Phosphate	0.20
<u>Phase C</u>	
Water	2.00
Triethanolamine 99%	0.60
<u>Phase D</u>	
Water	2.00
Butylene Glycol	2.00
DMDM Hydantoin (and)	
Iodopropynyl Butylcarbamate <sup>[6]</sup>	0.25
dL Panthenol	1.00
<u>Phase E</u>	

Cyclomethicone D4	4.00
Copolymer #2	1.00
oleic acid	0.36

- [1] Available as Carbopol® 954 from B.F. Goodrich.
- [2] Available as Carbopol® 1342 from B.F. Goodrich.
- [3] Available as Ganex V-220 from GAF Corporation.
- [4] Available as DC 580 Wax from Dow Corning.
- [5] Available as Synchrowax HRC from Croda.
- [6] Available as Glydant Plus from Lonza.

In a suitable vessel the Phase A ingredients are dispersed in the water and heated to 75-85°C. In a separate vessel the Phase B ingredients (except DEA-Cetyl Phosphate) are combined and heated to 85-90°C until melted. Next, the DEA-Cetyl Phosphate is added to the liquid Phase B and stirred until dissolved. This mixture is then added to Phase A to form the emulsion. The Phase C ingredients are combined until dissolved and then added to the emulsion. The emulsion is then cooled to 40-45°C with continued mixing. In another vessel, the Phase D ingredients are heated with mixing to 40-45°C until a clear solution is formed and this solution is then added to the emulsion. Finally, the emulsion is cooled to 35°C and the Phase E ingredients are combined at 65°C, use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. Phase E is the cooled to 35°C, added and mixed.

This emulsion is useful for topical application to the skin to provide protection from the harmful effects of ultraviolet radiation.

#### EXAMPLE 20

##### Facial Moisturizer

A leave-on facial emulsion composition containing a cationic hydrophobic surfactant is prepared by combining the following components utilizing conventional mixing techniques.

<u>Ingredient</u>	<u>Weight %</u>
Phase A	
Water	QS100
Glycerin	3.00
Cetyl Palmitate	3.00
Cetyl Alcohol	1.26
Quaternium-22	1.00
Glyceryl Monohydroxy Stearate	0.74
Dimethicone	0.60
Stearic Acid	0.55
Octyldodecyl Myristate	0.30
Potassium Hydroxide	0.20

Carbomer 1342	0.125
Tetrasodium EDTA	0.10
DMDM Hydantoin and Iodopropynyl	
Butyl Carbamate	0.10
Carbomer 951	0.075
Phase B	
Isododecane	4.00
Copolymer from example 2	1.00
oleic acid	0.36

In a suitable vessel the Phase A ingredients are combined to form an emulsion. Phase B is prepared by dispersing the copolymer #2 in Isododecane (solvent) then adding the stearamine. Heat the solution to 65 C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. Cool the Phase B and mix into Phase A using conventional mixing techniques.

This emulsion is useful for application to the skin as a moisturizer.

#### EXAMPLE 21

The following is an anti-perspirant composition representative of the present invention.

Component	Weight %
PPG 2 Myristyl Propionate	34.00 %
Glyceryl C <sub>18</sub> - C <sub>36</sub> Wax Acid Ester	0.40 %
Cyclomethicone	32.75 %
Copolymer # 3	1.00 %
Oleic Acid	0.18 %
Aluminum Chlorohydrate	19.00 %
PPG 5 Ceteth 20	7.50 %
Water	1.50 %

Mix PPG 2 Myristyl Propionate and Glyceryl C<sub>18</sub> - C<sub>36</sub> Wax Acid Ester, heat to 75°C. Disperse the Chlorohydrate. Disperse the copolymer #3 in Cyclomethicone (solvent) then add the dimethylmyristamine. Heat the solution to 65°C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. Add the cyclomethicone mixture to the Chlorohydrate dispersion. Mix PPG 5 Ceteth 20 and the water, the add to oils, perfume and cool.

**EXAMPLE 22**

The following is an anti-acne composition representative of the present invention.

Component	Weight %
<u>Copolymer-Solvent Mix</u>	
Copolymer # 3	1.00 %
Oleic Acid	0.18 %
Isopar H® [1]	3.75 %
<u>Main Mix</u>	
Water	Q.S. to 100 %
Ethanol (SDA 40)	40.00 %
Carbopol 940®	0.75 %
Triethanol Amine	1.00 %
Salicylic Acid	2.00 %

[1] C<sub>11</sub>-C<sub>12</sub> Isoparaffin, available from Exxon Chemical Co.

This product is prepared by dispersing the copolymer #1 in Isopar H® (solvent) then adding the dimethylpalmitamine. Heat the solution to 65°C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. The other components are mixed in a separate vessel at ambient temperature. The copolymer-solvent premix is cooled (if needed) and added to the other components. This composition is useful for application to the skin to provide improve water resistance and is useful in the treatment of acne.

**EXAMPLE 23**

The following is an anti-acne composition representative of the present invention.

Component	Weight %
<u>Copolymer-Solvent Mix</u>	
Copolymer # 3	1.00 %
Oleic Acid	0.18 %
Isopar H® [1]	3.75 %
<u>Main Mix</u>	
Water	Q.S. to 100 %
Ethanol (SDA 40)	20.00 %
Carbopol 940®	0.75 %
Triethanol Amine	1.00 %
Ibuprofen	2.00 %

[1] C<sub>11</sub>-C<sub>12</sub> Isoparaffin, available from Exxon Chemical Co.

This product is prepared by dispersing the copolymer #1 in Isopar H® (solvent) then adding the dimethylpalmitamine. Heat the solution to 65°C and use an appropriate homogenizer to facilitate incorporation of the copolymer into the solvent. The other components are mixed in a separate



vessel at ambient temperature. The copolymer-solvent premix is cooled (if needed) and added to the other components. This composition is useful for application to the skin to provide improve water resistance and is useful for the analgesic effects.

## WHAT IS CLAIMED IS:

## 1. A personal care composition, comprising:

## A.) a copolymer complex comprising:

a.) a copolymer having a backbone formed from the copolymerization of repeating A monomer and B monomer units wherein the backbone has optionally grafted to it hydrophobic C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:

i) from 10% to 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;

ii) from 1% to 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer and C macromonomer units and wherein at least 1% by weight of the copolymer, of the B monomer units, are selected from B monomer units having at least one nitrogen containing functional group; and

iii) from 0 to 50%, preferably from 5% to 40%, by weight of the copolymer of one or more C macromonomer units wherein the C units are hydrophobic macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from 1,500 to 50,000; and

b.) a complexing fatty acid wherein the fatty acid forms a complex with the nitrogen containing functional group of the B monomer units;

wherein the weight ratio of the copolymer to the fatty acid is from 50:1 to 1:1;

and

B.) a volatile, hydrophobic solvent component for the copolymer complex having a boiling point at 1 atmosphere of 260°C or less and a solubility parameter of  $8.5 \text{ (cal/cm}^3)^{1/2}$  or less

wherein the copolymer complex is soluble or dispersible in the volatile, hydrophobic solvent component.

2. A personal care composition according to Claim 1 wherein said A monomer and B monomer units are ethylenically unsaturated and wherein the C macromonomer units have a polymeric portion and an ethylenically unsaturated moiety, preferably a polyalkylene.

3. A personal care composition according to any one of the preceding Claims wherein said polyalkylene macromonomers correspond to the formula



wherein I is an initiator moiety, n is an integer of 0 or 1, W is a hydrophobic monomer unit, E is an endcapping group, and m is an integer from 10 to 2000.

4. A personal care composition according to any one of the preceding Claims wherein I is selected from the group consisting of initiators derived from hydrogen ion, hydrogen radical, hydride ion, hydroxide ion, hydroxyl radical, peroxide radical, peroxide anion, C1-C20 carbocations, C1-C20 carbanions, C1-C20 carbon radicals, C1-C20 aliphatic alkoxy anions, C1-C20 aromatic alkoxy anions, ammonium ion, C1-C20 alkyl substituted ammonium ions, C1-C20 alkoxy substituted ammonium ions, and mixtures thereof; W is selected from the group consisting of n-butyl acrylate, dodecyl acrylate, ethyl acrylate, 2-ethylbutyl acrylate, n-heptyl acrylate, n-hexylacrylate, iso-butyl acrylate, iso-decyl acrylate, iso-propyl acrylate, 3-methylbutyl acrylate, 2-methylpentyl acrylate, nonyl acrylate, octyl acrylate, 1-propyl acrylate, 2-ethylhexyl methacrylate, octyl methacrylate, n-dodecyl methacrylate, n-octadecyl methacrylate, n-decyl methacrylate, n-pentyl methacrylate, isobutylene, isoprene, 1,2-butadiene, 1,3-butadiene, 5-methyl-1-hexene, 6-methyl-1-heptene, 4,4-dimethyl-1-pentene, iso-butyl vinyl ether, styrene, 2-methyl styrene, 3-methylstyrene, 4-methyl styrene, 2-t-butyl styrene, and mixtures thereof; and E is selected from the group consisting of vinyl, allyl, acryloyl, methacryloyl, ethacryloyl, 3-vinylbenzyl, 4-vinylbenzyl, 3-vinylbenzoyl, 4-vinylbenzoyl, 1-butenyl, 1-propenyl, isobutenyl, and mixtures thereof.
5. A personal care composition according to any one of the preceding Claims, wherein said C macromonomer units are selected from the group consisting of acryloyl, methacryloyl, or 2-, 3-, or 4-vinylbenzyl endcapped polymers of poly(n-butyl acrylate), poly(dodecyl acrylate), poly(2-ethylhexyl acrylate), poly(2-ethylbutyl acrylate), poly(n-ethyl acrylate), poly(n-heptyl acrylate), poly(n-hexyl acrylate), poly(iso-butyl acrylate), poly(iso-decyl acrylate), poly(iso-propyl acrylate), poly(3-methylbutyl acrylate), poly(2-methylpentyl acrylate), poly(nonyl acrylate), poly(octyl acrylate), poly(propyl acrylate), poly(2-ethylhexyl methacrylate), poly(tridecyl methacrylate), poly(hexyl methacrylate), poly(decyl methacrylate), poly(octyl methacrylate), poly(octadecyl methacrylate), poly(dodecyl methacrylate), poly(n-pentyl methacrylate), poly(isobutylene), poly(isoprene), hydrogenated poly(1,2-butadiene), hydrogenated poly(1,4-butadiene), hydrogenated poly(isoprene), poly(1,2-butadiene), poly(1-butene), poly(5-methyl-1-

hexene), poly(6-methyl-1-heptene), poly(4,4-dimethyl-1-pentene), poly(iso-butyl vinyl ether), poly[4-*t*-butyl vinyl benzene-co-2-ethylhexyl acrylate], poly[2-ethylhexyl acrylate-co-octyl acrylamide), poly[2-ethyl vinyl benzene-co-octyl methacrylate)], and mixtures thereof.

6. A personal care composition according to any one of the preceding Claims, wherein the copolymer of the copolymer complex is prepared by the polymerization combination of the following relative weight percentages of said A, B and C units:
  - a. from 40% to 80% of said hydrophobic A unit;
  - b. from 5% to 30% of said hydrophilic B unit; and
  - c. from 5% to 40% of said hydrophobic C unit wherein said C unit has a weight average molecular weight of from 5,000 to 50,000.
7. A personal care composition, comprising:
  - A.) a copolymer complex comprising:
    - a.) a copolymer having a backbone formed from the copolymerization of repeating A monomer and B monomer units wherein the backbone has optionally grafted to it hydrophobic C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:
      - i) from 10% to 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;
      - ii) from 1% to 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer and C macromonomer units and wherein at least 1% by weight of the copolymer, of the B monomer units, are selected from B monomer units having at least one nitrogen containing functional group; and
      - iii) from 0 to 50%, preferably 5% to 40%, by weight of the copolymer of one or more C macromonomer units wherein the C units are hydrophobic macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from 1,500 to 50,000; and
    - b.) a complexing fatty acid wherein the fatty acid forms a complex with the nitrogen containing functional group of the B monomer units wherein the weight ratio of the copolymer to the fatty acid is from 50:1 to 1:1;

and

B.) a volatile, hydrophobic solvent component for the copolymer complex having a boiling point at 1 atmosphere of 260°C or less and a solubility parameter of 8.5 (cal/cm<sup>3</sup>)<sup>1/2</sup> or less; and

C.) a carrier immiscible with the volatile hydrophobic solvent component wherein the copolymer complex is soluble or dispersible in the volatile, hydrophobic solvent component.

8. A hair styling and conditioning composition, comprising:

A.) a copolymer complex comprising:

a.) a copolymer having a backbone formed from the copolymerization of repeating A monomer and B monomer units wherein the backbone has optionally grafted to it hydrophobic C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:

i) from 10% to 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;

ii) from 1% to 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer and C macromonomer units and wherein at least 1% by weight of the copolymer, of the B monomer units, are selected from B monomer units having at least one nitrogen containing functional group; and

iii) from 0 to 50%, preferably 5% to 40% by weight of the copolymer of one or more C macromonomer units wherein the C units are hydrophobic macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from 1,500 to 50,000; and

b.) a complexing fatty acid wherein the fatty acid forms a complex with the nitrogen containing functional group of the B monomer units;

wherein the weight ratio of the copolymer to the fatty acid is from 50:1 to 1:1;

and

B.) a volatile, hydrophobic solvent component for the copolymer complex having a boiling point at 1 atmosphere of 260°C or less and a solubility parameter of 8.5 (cal/cm<sup>3</sup>)<sup>1/2</sup> or less

wherein the copolymer complex is soluble or dispersible in the volatile, hydrophobic solvent component and wherein the copolymer complex and volatile, hydrophobic solvent component mixture has a viscosity of above 5,000 cps.

9. A hair care composition, comprising:

1.) a hair styling and conditioning component, comprising:

A.) a copolymer complex comprising:

a.) a copolymer having a backbone formed from the copolymerization of repeating A monomer and B monomer units wherein the backbone has optionally grafted to it hydrophobic C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:

i) from 10% to 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;

ii) from 1% to 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer and C macromonomer units and wherein at least 1% by weight of the copolymer, of the B monomer units, are selected from B monomer units having at least one nitrogen containing functional group; and

iii) from 0 to 50%, preferably 5% to 40%, by weight of the copolymer of one or more C macromonomer units wherein the C units are hydrophobic macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from 1,500 to 50,000; and

b.) a complexing fatty acid wherein the fatty acid forms a complex with the nitrogen containing functional group of the B monomer units wherein the weight ratio of the copolymer to the fatty acid is from 50:1 to 1:1;

and

B.) a volatile, hydrophobic solvent component for the copolymer complex having a boiling point at 1 atmosphere of 260°C or less and a solubility parameter of  $8.5 \text{ (cal/cm}^3)^{1/2}$  or less and wherein the copolymer complex is soluble or dispersible in the volatile, hydrophobic solvent component

wherein the copolymer complex and volatile, hydrophobic solvent component mixture has a viscosity of above 5,000 cps;

and

II.) a carrier immiscible with the volatile hydrophobic solvent component.

10. A personal care composition, comprising:

A.) a copolymer complex comprising:

a.) a copolymer having a backbone formed from the copolymerization of repeating A monomer and B monomer units wherein the backbone has optionally grafted to it siloxane based C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:

i) from 10% to 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;

ii) from 1% to 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer units and C macromonomer units and wherein at least 1%, by weight of the copolymer, of the B monomer units are selected from B monomer units having at least one nitrogen containing functional group;

iii) from 0 to 50%, preferably 5% to 40%, by weight of the copolymer of one or more C units wherein the C macromonomer units are polysiloxane-containing macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from 1,500 to 50,000;

and

b.) a complexing fatty acid wherein the fatty acid forms a complex with the nitrogen containing group of the B monomer units

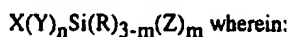
wherein the weight ratio of the copolymer to the fatty acid is from 50:1 to 1:1;

and

B.) a volatile, hydrophobic solvent component for the copolymer complex having a boiling point at 1 atmosphere of 260°C or less and a solubility parameter of 8.5 (cal/cm<sup>3</sup>)<sup>1/2</sup> or less

wherein the copolymer complex is soluble or dispersible in the volatile, hydrophobic solvent component.

11. A personal care composition according to any one of the preceding Claims, wherein the A monomer and B monomer units are ethylenically unsaturated and wherein the C macromonomer unit has the general formula:



X is a vinyl group copolymerizable with the A monomers and the B monomers;

Y is a divalent linking group;

R is a hydrogen, C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkyl substituted aryl or C<sub>1</sub>-C<sub>4</sub> alkoxy;

Z is a monovalent siloxane polymeric moiety having a number average molecular weight of at least 1500, is essentially unreactive under copolymerization conditions, and is pendant from the vinyl polymeric backbone after polymerization;

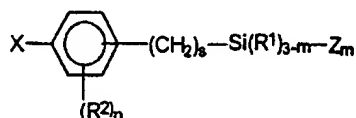
n is 0 or 1; and

m is an integer from 1 to 3.

12. A personal care composition according to any one of the preceding Claims, wherein said hydrophobic A unit is selected from the group consisting of acrylic acid esters, methacrylic acid esters, vinyl compounds, vinylidene compounds, unsaturated hydrocarbons, C<sub>1</sub>-C<sub>18</sub> alcohol esters of organic acids and organic acid anhydrides, and mixtures thereof, preferably selected from the group consisting of t-butyl acrylate, t-butyl methacrylate, t-butyl styrene, 2-ethylhexyl methacrylate and mixtures thereof.

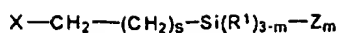
13. A personal care composition according to any one of the preceding Claims, wherein said hydrophilic B unit is selected from the group consisting of dialkylaminoacrylates, dialkylaminomethacrylates, dialkylaminoalkylacrylamides, dialkylaminoalkylmethacrylamides, acid salts thereof, quaternary addition salts thereof and mixtures thereof, preferably selected from the group consisting of N,N-dialkylaminoethylacrylate, N,N-dialkylaminoethylmethacrylate, N,N-dialkylaminopropylacrylate, N,N-dialkylaminopropylmethacrylate, N,N-dialkylaminopropylacrylamide, N,N-dialkylaminopropylmethacrylamide, acid salts thereof, quaternary addition salts thereof and mixtures thereof.

14. A personal care composition according to any one of the preceding Claims, wherein the polysiloxane-containing C unit has a formula selected from the following group consisting of

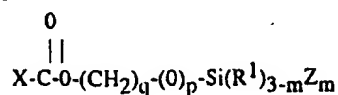


or





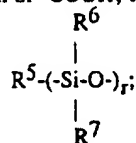
or



wherein s is 0, 1, 2, 3, 4, 5 or 6; m is 1, 2 or 3; p is 0; q is 2, 3, 4, 5 or 6; R<sup>1</sup> is hydrogen, hydroxyl, lower alkyl, alkoxy, alkylamino, aryl, or alkylaryl; R<sup>2</sup> is C1-C6 alkyl or C7-C10 alkylaryl; n is 0, 1, 2, 3 or 4; X is

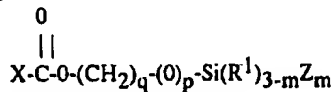


wherein R<sup>3</sup> is hydrogen or -COOH; R<sup>4</sup> is hydrogen, methyl or -CH<sub>2</sub>COOH; Z is



R<sup>5</sup>, R<sup>6</sup>, and R<sup>7</sup> independently are lower alkyl, alkoxy, alkylamino, aryl, alkylaryl, hydrogen or hydroxyl; and r is an integer of from 20 to 675.

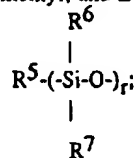
15. A personal care composition according to any one of the preceding Claims, wherein the polysiloxane-containing C unit has the formula:



wherein m is 1; p is 0; q is 3; R<sup>1</sup> is methyl; X is



wherein R<sup>3</sup> is hydrogen; R<sup>4</sup> is methyl; and Z is



R<sup>5</sup>, R<sup>6</sup>, and R<sup>7</sup> are all methyl; and r is an integer of from 100 to 325.

16. A personal care composition according to any one of the preceding Claims, wherein the complexing fatty acid is selected from the group consisting of fatty acid sulfonates, fatty acid carboxylates, polycarboxylates, derivatives thereof, salts thereof and mixtures thereof, preferably a fatty acid carboxylate.

17. A personal care composition according to any one of the preceding Claims, wherein the fatty acid carboxylate is selected from the group consisting of 2-ethylhexanoic acid, palmitic acid, stearic acid, oleic acid, linoleic acid, behenic acid, salts thereof and mixtures thereof.
18. A personal care composition according to Claim 43 wherein the copolymer of the copolymer complex is prepared by the polymerization combination of the following relative weight percentages of the A, B and C units:
  - a. from 40% to 80% of the hydrophobic A unit;
  - b. from 5% to 30% of the hydrophilic B unit; and
  - c. from 5% to 40% of the polysiloxane-containing C unit wherein the C unit has a number average molecular weight of from 5,000 to 30,000.
19. A personal care composition according to any one of the preceding Claims, wherein said volatile, hydrophobic solvent component is selected from the group consisting of hydrophobic, volatile C<sub>7</sub>-C<sub>14</sub> branched hydrocarbons, hydrophobic, volatile silicones and mixtures thereof, preferably selected from the group consisting of hexamethyl disiloxane, isododecane, cyclomethicone and mixtures thereof.
20. A personal care composition according to any one of the preceding Claims, further comprising a carrier selected from the group consisting of mousses, gels, lotions, creams, ointments, tonics, sprays, aerosols, shampoos, conditioners, rinses, bar soaps, hand and body lotions, facial moisturizers, solid gel sticks, preferably a shampoo.
21. A personal care composition according to any one of the preceding Claims, wherein said composition further comprising from 10% to 30% by weight of the composition of a synthetic surfactant, preferably selected from the group consisting of alkyl sulfates, ethoxylated alkyl sulfates, and mixtures thereof.
22. A personal care composition according to any one of the preceding Claims, wherein the composition further comprises a conditioning agent comprising:
  - A. from 0.1% to 10% of a lipid vehicle material; and
  - B. from 0.05% to 5% of a cationic surfactant.
23. A personal care composition according to any one of the preceding Claims, wherein the composition is a conditioner.

24. A personal care composition according to any one of the preceding Claims, wherein said composition further comprises a pharmaceutical active selected from the group consisting of antiacne actives, analgesic actives, antipruritic actives, anesthetic actives, antimicrobial actives, sunscreen actives, sunless tanning actives, skin-bleaching actives, anti-dandruff actives, antiperspirant actives, deodorant actives and mixtures thereof.
25. A personal care composition, comprising:
- A.) a copolymer complex comprising:
- a.) a copolymer having a backbone formed from the copolymerization of repeating A monomer and B monomer units wherein the backbone has optionally grafted to it siloxane based C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:
- i) from 10% to 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;
- ii) from 1% to 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer units and C macromonomer units and wherein at least 1%, by weight of the copolymer, of the B monomer units are selected from B monomer units having at least one nitrogen containing functional group;
- iii) from 0 to 50%, preferably 5% to 40%, by weight of the copolymer of one or more C units wherein the C macromonomer units are polysiloxane-containing macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from 1,500 to 50,000;
- and
- b.) a complexing fatty acid wherein the fatty acid forms a complex with the nitrogen containing group of the B monomer units
- wherein the weight ratio of the copolymer to the fatty acid is from 50:1 to 1:1;
- and
- B.) a volatile, hydrophobic solvent component for the copolymer complex having a boiling point at 1 atmosphere of 260°C or less and a solubility parameter of  $8.5 \text{ (cal/cm}^3)^{1/2}$  or less; and
- C.) a carrier immiscible with the volatile hydrophobic solvent component

wherein the copolymer complex is soluble or dispersible in the volatile, hydrophobic solvent component.

26. A hair styling and conditioning composition, comprising:

A.) a copolymer complex comprising:

a.) a copolymer having a backbone formed from the copolymerization of repeating A monomer and B monomer units wherein the backbone has optionally grafted to it siloxane based C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:

i) from 10% to 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;

ii) from 1% to 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer units and C macromonomer units and wherein at least 1%, by weight of the copolymer, of the B monomer units are selected from B monomer units having at least one nitrogen containing functional group;

iii) from 0 to 50% by weight of the copolymer of one or more C units wherein the C macromonomer units are polysiloxane-containing macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from 1,500 to 50,000;

and

b.) a complexing fatty acid wherein the fatty acid forms a complex with the nitrogen containing group of the B monomer units

wherein the weight ratio of the copolymer to the fatty acid is from 50:1 to 1:1;

and

B.) a volatile, hydrophobic solvent component for the copolymer complex having a boiling point at 1 atmosphere of 260°C or less and a solubility parameter of 8.5 (cal/cm<sup>3</sup>)<sup>1/2</sup> or less

wherein the copolymer complex is soluble or dispersible in the volatile, hydrophobic solvent component and wherein the copolymer complex and volatile, hydrophobic solvent component mixture has a viscosity of above 5,000 cps.

## 27. A hair care composition, comprising:

## I.) a hair styling and conditioning component, comprising:

## A.) a copolymer complex comprising:

a.) a copolymer having a backbone formed from the copolymerization of repeating A monomer and B monomer units wherein the backbone has optionally grafted to it siloxane based C macromonomer units wherein the copolymer is prepared by the polymerization combination of the following relative weight percentages of the A, B, and C units:

- i) from 10% to 99% by weight of the copolymer of one or more hydrophobic A monomer units, wherein the A monomer units are copolymerizable with the B monomer and C macromonomer units;
- ii) from 1% to 40% by weight of the copolymer of one or more hydrophilic B monomer units, wherein the B monomer units are copolymerizable with the A monomer units and C macromonomer units and wherein at least 1%, by weight of the copolymer, of the B monomer units are selected from B monomer units having at least one nitrogen containing functional group;
- iii) from 0 to 50% by weight of the copolymer of one or more C units wherein the C macromonomer units are polysiloxane-containing macromonomer units, copolymerizable with the A monomer units and the B monomer units, the C macromonomer units having a number average molecular weight of from 1,500 to 50,000;

and

b.) a complexing fatty acid wherein the fatty acid forms a complex with the nitrogen containing group of the B monomer units

wherein the weight ratio of the copolymer to the fatty acid is from 50:1 to 1:1;

and

B.) a volatile, hydrophobic solvent component for the copolymer complex having a boiling point at 1 atmosphere of 260°C or less and a solubility parameter of 8.5 (cal/cm<sup>3</sup>)<sup>1/2</sup> or less and wherein the copolymer complex is soluble or dispersible in the volatile, hydrophobic solvent component

wherein the copolymer complex and volatile, hydrophobic solvent component mixture has a viscosity of above 5,000 cps;

## II.) a carrier immiscible with the volatile hydrophobic solvent component.

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>A61K 7/48, 7/06</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 98/09608</b> <b>(43) International Publication Date:</b> 12 March 1998 (12.03.98)
<b>(21) International Application Number:</b> PCT/US97/15561 <b>(22) International Filing Date:</b> 4 September 1997 (04.09.97)  <b>(30) Priority Data:</b> 08/708,862 4 September 1996 (04.09.96) US 08/707,775 4 September 1996 (04.09.96) US 08/833,818 9 April 1997 (09.04.97) US 08/833,817 9 April 1997 (09.04.97) US  <b>(71) Applicant:</b> THE PROCTER & GAMBLE COMPANY [US/US]; One Procter & Gamble Plaza, Cincinnati, OH 45202 (US).  <b>(72) Inventors:</b> HUTCHINS, Thomas, Allen; 3917 Dieckman Lane, Cincinnati, OH 45245 (US). CLARIZIA, Mario, Paul; 88 Jema Court, Iowa City, IA 52246 (US). SNYDER, Michael, Albert; 9391 Larkmeadow Court, Mason, OH 45040 (US). CARBALLADA, Jose, Antonio; 972 Hemp- stead Drive, Cincinnati, OH 45231 (US). TORGERSON, Peter, Marte; 4127 U.S. Route 34 N.W., Washington, OH 43160 (US). BOLICH, Raymond, Edward, Jr.; 7201 Striker Road, Maineville, OH 45039 (US).	<b>(74) Agents:</b> REED, T. David et al.; The Procter & Gamble Company, 5299 Spring Grove Avenue, Cincinnati, OH 45217 (US).  <b>(81) Designated States:</b> AU, BR, CA, CN, JP, KR, MX, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>  <b>(88) Date of publication of the international search report:</b> 27 August 1998 (27.08.98)	
<b>(54) Title:</b> PERSONAL CARE COMPOSITIONS  <b>(57) Abstract</b>  The present invention relates to personal care compositions comprising a copolymer complex and a volatile, hydrophobic solvent component for solubilizing or dispersing the copolymer complex. The copolymer complex is formed by complexing a fatty acid with a copolymer, wherein the copolymer comprises a hydrophobic monomer, a hydrophilic monomer such that at least 1 %, by weight of the total copolymer, comprises hydrophilic monomers bearing nitrogen functional groups, and optionally a hydrophobic macromonomer.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/15561

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6    A61K7/48    A61K7/06		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6    A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	CHEMICAL ABSTRACTS, vol. 123, no. 8, 21 August 1995 Columbus, Ohio, US; abstract no. 92891, T. OOMURA: "Hair cosmetics containing complex of surfactants with higher fatty acids and poly(vinylpyrrolidone)-vinyl acetate copolymer" XP002057467	1,7-10, 12,16, 17,19, 20,23, 25-27
Y	see abstract   & JP 07 138 134 A (SHISEIDO CO. LTD) 30 May 1995  <div style="text-align: center;">--- -/--</div>	1,7-10, 12,16, 17,19, 20,23, 25-27
<div style="display: flex; justify-content: space-between;"> <span><input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.</span> <span><input checked="" type="checkbox"/> Patent family members are listed in annex.</span> </div>		
<div style="display: flex;"> <div style="flex: 1;"> <p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="flex: 1;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"B" document member of the same patent family</p> </div> </div>		
Date of the actual completion of the international search  <div style="text-align: center;">13 March 1998</div>		Date of mailing of the international search report  <div style="text-align: center;">19.06.98</div>
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  <div style="text-align: center;">SIERRA GONZALEZ, M</div>



# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/15561

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	<p>WO 96 36310 A (NICHOLS ROSEMARIE) 21 November 1996</p> <p>see page 4, line 1 see page 4, line 16-19 see page 7, line 13-14 see page 10, line 11-23 see claims 1-6,12,42</p>	<p>1,7-10, 12,16, 17,19, 20,23, 25-27</p>
Y	<p>--- CHEMICAL ABSTRACTS, vol. 123, no. 10, 4 September 1995 Columbus, Ohio, US; abstract no. 122718, Y. SHIOJIMA: "novel emulsifiers containing a compound prepared from amphoteric polymers and higher fatty acids" XP002057468 see abstract &amp; JP 07 100 357 A (SHISEIDO CO. LTD) 18 April 1995</p>	<p>1,7-10, 12,16, 17,19, 20,23, 25-27</p>
A	<p>--- DE 33 47 189 A (CIBA GEIGY AG) 5 July 1984</p> <p>see the whole document -----</p>	<p>1,7-10, 12,13, 16,17, 19-27</p>

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 97/ 15561

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Subject 1: Claims 1, 7-10, 12-13, 16-17, 19-27 ALL PARTIAL  
 Subject 2: Claims 1, 6-9, 12-13, 16-17, 19-24 ALL PARTIAL, 2-5 FULL  
 Subject 3: Claims 1, 6-10, 12-13, 16-17, 19-27 ALL PARTIAL, 11, 14-15, 18 FULL

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Claims 1, 7-10, 12-13, 16-17, 19-27 ALL PARTIAL

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/15561

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9636310 A	21-11-96	US 5747017 A	05-05-98
		AU 5748296 A	29-11-96
		EP 0827390 A	11-03-98
-----			
DE 3347189 A	05-07-84	NONE	
-----			